

1 **Analysis of haplotypic variation and deletion polymorphisms point to multiple archaic**
2 **introgression events, including from Altai Neanderthal lineage**

3
4 **Ozgur Taskent,¹, Yen Lung Lin², Ioannis Patramanis,³ Pavlos Pavlidis,³ Omer**
5 **Gokcumen,¹**

6
7 **Affiliations:**

8 ¹Department of Biological Sciences, State University of New York at Buffalo. Buffalo, NY.

9 ²Genetics Section, University of Chicago, Chicago, IL.

10 ³Foundation for Research and Technology – Hellas, Greece

11
12 **Correspondence:**

13 Omer Gokcumen

14 gokcumen@gmail.com

15
16 **ABSTRACT:**

17 The time, extent, and genomic impact of the introgressions from archaic humans into
18 ancestors of extant human populations remain one of the most exciting venues of population
19 genetics research in the last decade. Several studies have shown population-specific
20 signatures of introgression events from Neanderthals, Denisovans, and potentially other
21 unknown hominin populations in different human groups. Moreover, it was shown that these
22 introgression events may have contributed to phenotypic variation in extant humans, with
23 biomedical and evolutionary consequences. In this study, we present a comprehensive
24 analysis of the unusually divergent haplotypes in the Eurasian genomes and showed that they
25 can be traced back to multiple introgression events. In parallel, we document hundreds of
26 deletion polymorphisms shared with Neanderthals. A locus-specific analysis of one such
27 shared deletion suggests the existence of a direct introgression event from the Altai
28 Neanderthal lineage into the ancestors of extant East Asian populations. Overall, our study is
29 in agreement with the emergent notion that various Neanderthal populations contributed to
30 extant human genetic variation in a population-specific manner.

31

32

33

34

35

36

37

38

39

INTRODUCTION

Humans and Neanderthals interbred following the emergence of humans in Eurasia 60,000-50,000 years ago (Green *et al.* 2010; Prüfer *et al.* 2014; Moorjani *et al.* 2016). As a result, all present-day humans from outside of Africa inherit 1-2% Neanderthal DNA in their genomes (Green *et al.* 2010; Prüfer *et al.* 2014). The observation that Eurasian genomes harbor similar levels of Neanderthal ancestry was initially interpreted as evidence for a single pulse of introgression that occurred in the Middle East shortly after the migrations of modern humans into Eurasia (Green *et al.* 2010). Contrary to these initial findings, however, it was subsequently shown that East Asians carry ~20% more Neanderthal ancestry relative to Europeans (Wall *et al.* 2013; Vernot and Akey 2014; Sankararaman *et al.* 2014).

Three scenarios were discussed in the literature to explain this excess Neanderthal ancestry in East Asia. First, it is possible that negative selection has acted in different strengths in East Asian and European populations due to the differences in the effective population sizes of these two human populations. Second, a hypothetical Basal Eurasian population, which has little to no Neanderthal ancestry, may have contributed to present-day Europeans, diluting the overall prevalence of Neanderthal ancestry in this population. Third, an additional pulse of population-specific Neanderthal introgression may have increased the prevalence of Neanderthal ancestry in East Asia.

Having observed a substantial depletion of Neanderthal ancestry in the functional parts of the human genome, Sankararaman *et al.* (Sankararaman *et al.* 2014) suggested that the excess Neanderthal ancestry in East Asia might be due to the smaller effective population of East Asians and hence less effective purifying selection that has acted against deleterious Neanderthal DNA in this human population. Two independent studies tested this hypothesis and showed that the smaller effective population of East Asians cannot explain the excess Neanderthal ancestry in East Asia (Kim and Lohmueller 2015; Vernot and Akey 2015). Moreover, forward-time simulations indicated that the widespread purifying selection against Neanderthal ancestry in human populations was strongest during the very early few generations following the introgression (Harris and Nielsen 2016; Petr *et al.* 2019), a time frame largely preceding the split of East Asians and other Eurasians. Although deleterious Neanderthal DNA continued to be purged from human populations, the strength of the selection was diminished after this early phase, effectively not changing the genome-wide Neanderthal ancestry levels from 400 generations following the introgression to the present-day (Harris and Nielsen 2016; Petr *et al.* 2019). Assuming a generation time of 29 years, 400 generations amounts to 11,600 calendar years. Hence, in a conservative estimation, purifying selection has effectively not changed Neanderthal ancestry levels in Eurasia for the last 35,000 years.

A second hypothesis addressing the differential retention of Neanderthal ancestry in East Asia and Europe suggests that the Neanderthal ancestry in Europe was diluted by an ancestry component not carrying Neanderthal introgression. It was previously shown that the proportion of Neanderthal ancestry carried by ancient European and western Asian human genomes decreases linearly with the proportion of Basal Eurasian ancestry found in the same genomes (Lazaridis *et al.* 2016). The Basal Eurasian ancestry is derived from a hypothetical population that remained isolated in the Middle East after humans migrated out of Africa and did not admix with Neanderthals as much as other Eurasian populations did. Basal Eurasian ancestry, therefore, carried little or no Neanderthal introgression. This ancestral component later entered the European gene pool via first the expanding agricultural populations during the Neolithic and later the Yamnaya expansion during the Bronze Age (Lazaridis *et al.* 2016). It is plausible,

90 therefore, that the Basal Eurasian ancestry that present-day Europeans carry might have diluted
91 the Neanderthal ancestry in Europe in the last 10,000 years.

92
93 However, Petr et al. (2019) recently showed that there was no significant decrease in the
94 Neanderthal ancestry in Europe during the last 10,000 years. They documented that the inferred
95 decrease in the Neanderthal ancestry in European genomes was due to the design of the f4-
96 ratio test used in Lazaridis et al. (Lazaridis *et al.* 2016). Specifically, the f4-ratio tests used in
97 previous work estimated the proportion of Neanderthal ancestry as the remaining ancestry
98 component after accounting for the sub-Saharan African ancestry found in the test European
99 genomes. Moreover, Lazaridis et al. (Lazaridis *et al.* 2016) used West African populations as
100 the outgroup in their f4-ratio tests, which Petr et al. (2019) and, more recently Chen et al.
101 (2020) showed to be biased due to the recent gene flow from Eurasia back to Africa and gene
102 flow between West and East African populations. Instead, they developed an alternative model
103 by using the two high-quality Neanderthal genomes (Altai and Vindija Neanderthals, Prüfer *et al.*
104 2014, 2017) and designed a new f4-ratio test to avoid the aforementioned bias. Their work
105 showed that there is no significant decrease in the Neanderthal ancestry in Europe in the last
106 10,000 years (Petr et al. 2019). Combined, these findings indicate that the differential retention
107 of Neanderthal ancestry in different parts of Eurasia is unlikely to explain the excess
108 Neanderthal ancestry in East Asia.

109
110 The third hypothesis involving multiple pulses of Neanderthal introgression into modern humans
111 is now gaining more traction. Investigating the joint distribution of the frequencies of
112 introgressed haplotypes in East Asia and Europe, Villanea and Schraiber (Villanea and
113 Schraiber 2019) showed that Neanderthals contributed genetic material to modern humans in
114 three independent bouts: Once to the common ancestor of East Asians and Europeans, and in
115 two independent bouts to East Asian and European populations following the split of these two
116 human populations. Similarly, Mondal et al. (Mondal *et al.* 2019) found evidence for a second
117 pulse of Neanderthal introgression into East Asians from an inferred Neanderthal-Denisovan
118 hybrid population, which likely separated from the parental populations of Neanderthals and
119 Denisovans at an early point following the split of these two hominin populations.

120
121 A frequently ignored portion of present-day human genetic diversity in the studies of human
122 population genetics is structural variants; that is, large deletion and duplications, insertions,
123 inversions, and translocations. Yet, structural variants account for a much larger proportion of
124 genetic variation -in the number of affected base-pairs- between any two human genomes
125 (Conrad *et al.* 2009; Sudmant *et al.* 2015). It is thus possible to gain additional insights into the
126 admixture history between humans and Neanderthals studying structural variants. In our
127 previous work, we investigated allele sharing involving deletion polymorphisms between
128 present-day humans and ancient human populations and further scrutinized the haplotypic
129 context of these deletions (Lin *et al.* 2015). We found 38 deletion variants that were likely
130 introgressed from Neanderthals into human genomes (Lin *et al.* 2015).

131
132 Here, we built on these insights and identified thousands of haplotypes introgressed from
133 Neanderthals into Eurasian human genomes. Analyses on these haplotypes indicate
134 introgression from different Neanderthal lineages into Europe as well as East Asia. In parallel,
135 we investigated deletion polymorphisms to identify specific haplotypic variation found in present-
136 day human populations that were potentially descended from different bouts of introgression
137 from ancient hominins. Both haplotype data and deletion allele sharing indicate introgression
138 from different Neanderthals lineages into present-day Europeans and East Asians.

139
140

141 MATERIALS AND METHODS

142 *S** calculations

143 To detect haplotypes introgressed from Neanderthals into modern human genomes, we used *S**
144 statistics following the framework applied in (Taskent *et al.* 2017). *S** uses 20 Eurasian test
145 genomes and 13 Yoruba reference genomes. *S** scans each of 20 test genomes in turn for 50
146 kb windows across the chromosomes (with a step size of 20 kb) and seeks SNVs where the test
147 genome carries the derived allele and the 13 reference Yoruba genomes carry the ancestral
148 chimpanzee allele. For those set of SNVs, *S** follows a dynamic programming algorithm to
149 assess whether SNV pairs segregate together in the remaining 19 Eurasian test genomes and
150 assigns a score (*S**-score) to SNV pairs based on a scoring scheme developed in (Vernot and
151 Akey 2014). *S** then detects the combination of SNVs with the highest score for each test
152 Eurasian genome for each 50 kb window. In this study, we applied *S** framework for 200
153 genomes from each of Western European (Finnish, Great Britain, and CEU populations) and
154 East Asian populations (Han Chinese from Beijing, Han Chinese from South China and
155 Japanese populations) included in 1000 Genomes Project, Phase I data set (1000 Genomes
156 Project Consortium *et al.* 2012).

157
158 To derive a null *S**-score distribution, we performed coalescent simulations not including
159 introgression by using *ms* (Hudson 2002). The demographic parameters as well as the
160 recombination rate and number of segregating site parameters for coalescent simulations were
161 used as in (Taskent *et al.* 2017). In particular, we sampled the number of segregating sites from
162 a uniform distribution with the range of 30-350 and a step size of 5. Recombination rate, on the
163 other hand, was sampled from a natural-log transformed uniform distribution ranging from -
164 10.25 cM/Mb to 2.75 cM/Mb with a step size of 0.25 cM/Mb. 20,000 50 kb-long sequences were
165 generated for 13 Africans and 20 East Asians and Western Europeans each by coalescent
166 simulations for each segregating site-recombination rate pair (**fig. S1**). Demographic
167 parameters used in the simulations are as follows:

- 168
169 (1) Divergence of African and non-African populations at 51 kya,
- 170
171 (2) Divergence of European and East Asian populations at 23 kya,
- 172
173 (3) Gradual growth of non-African populations from 23 kya to 5 kya, to East Asian N_e of
174 8,879 and European N_e of 9,475. African N_e remained at 14,474 during this period,
- 175
176 (4) Rapid growth of all populations starting at 5 kya, to a modern-day N_e of 424,000 of
177 Africans, 512,000 of Europeans, and 1,370,990 of East Asians,
- 178
179 (5) Migration rates were fixed as follows: 1.498975×10^{-4} between Africans and the
180 ancestors of Europeans and East Asians, 2.498291×10^{-5} between Africans and
181 Europeans, 7.794668×10^{-6} between Africans and East Asians, and 3.107874×10^{-5}
182 between Europeans and East Asians.

183
184 An example *ms* script is as follows:
185

186 ms 106 20000 -s 290 -r 2.6679060934e-07 50000 -l 3 26 40 40 0.0 -n 1 58.002735978 -n 2
187 70.041039672 -n 3 187.55 -eg 0 1 482.46 -eg 0 2 570.18 -eg 0 3 720.23 -em 0 1 2 0.7310 -em
188 0 2 1 0.7310 -em 0 1 3 0.228072 -em 0 3 1 0.228072 -em 0 2 3 0.909364 -em 0 3 2 0.909364 -
189 eg 0.006997264 1 0 -eg 0.006997264 2 20.89166 -eg 0.006997264 3 30.06376 -en
190 0.006997264 1 1.98002736 -en 0.031463748 2 0.7774282 -en 0.031463748 3 0.5820793 -ej
191 0.031463748 3 2 -en 0.031463748 2 0.7774282 -em 0.031463748 1 2 4.386 -em 0.031463748
192 2 1 4.386 -ej 0.0697674412173913 2 1 -en 0.0697674412173913 1 1.98002736

193

194 S*-statistic was then calculated for the Eurasian sequences generated by these simulations and
195 the null S*-score distributions for each segregating site and recombination rate parameter pair
196 were generated.

197

198 To compare the empirical S*-scores calculated for the haplotypes detected in the test genomes
199 with the null S*-score distributions, we calculated the total number of segregating sites that S*
200 used for the 33 total modern human genomes (20 Eurasian test, 13 Yoruba reference genomes)
201 within each 50 kb window as well as the average recombination rate for those sites.
202 Recombination rate data for SNVs were obtained from HapMap recombination map data set
203 (International HapMap Consortium *et al.* 2007). We then compared empirical S*-scores with the
204 null S*-score distributions for sequences generated by coalescent simulations with the number
205 of segregating sites and recombination rate parameters matching the empirical results.
206 Haplotypes detected in human genomes with S*-scores falling above 0.99 quantile value of the
207 null-distributions were considered as putatively introgressed haplotypes.

208

209 As S* uses genotype information to infer regions where introgressed haplotypes are located, it
210 does not distinguish between phased haplotypes. To detect haplotypes on the phased human
211 genomes, we applied an additional filter by counting the number of derived alleles in each
212 phased chromosome of an individual genome for the SNVs that S* used to detect the putatively
213 introgressed fragments for that individual. Only haplotypes found on the chromosomes carrying
214 more than half of the derived alleles for S*-SNVs were retained after this filter.

215

216 We used custom python and shell scripts to perform S*-statistics, find S*-significant haplotypes,
217 find phased S*-significant haplotypes and compute average pairwise nucleotide differences (π)
218 between the S*-significant putatively introgressed haplotypes and the two Neanderthal
219 genomes. The scripts that we used for these analyses can be found in the following GitHub
220 repository: <https://github.com/taskent/Multiple-Neanderthal-Introgression->. we used R scripts for
221 the remaining analyses and to make the figures.

222

223 **Calculations for residuals for nucleotide difference comparisons**

224 Given the null-hypothesis of one pulse of introgression from the Vindija Neanderthal lineage into
225 the ancestors of Eurasians, we performed a linear regression analysis where π between the S*
226 significant (putatively introgressed) haplotypes and the Vindija Neanderthal genome was used
227 as the explanatory variable and the corresponding values for the Altai Neanderthal genome as
228 the response variable.

229

230 **Bayesian simulations**

231

232 For the Bayesian analysis, we simulated 3 "populations": Human, Altai, and Vindija. From each
233 population, we have sampled one haploid genome. The reason that we chose one haploid

234 genome per population is that by using just a single genome, the demography becomes
235 irrelevant (since there is no coalescent with only a single genome). In our simulation, the two
236 Neandertal populations join each other in the past within the period 0.1625, 0.18125 (in
237 coalescent time units). Assuming $N_e = 10,000$ and generation time = 20 years, then this period
238 corresponds to 130,000 to 145,000 years. All three populations join each other at a period
239 between 0.625, 0.875, i.e., between 500,000 to 700,000 years. Based on the known ages of the
240 actual specimens, we sampled the Altai and Vindija haplotypes at 120,000 and 50,000 years
241 ago, respectively.

242
243 Priors for the times of population split (forward in time) or join (backward in time) were uniform
244 (with the values that we specified above). There is migration between the two Neandertal
245 populations for a specified period. This time is distributed uniformly (priors) between the
246 sampling time and the time the two Neandertal populations join each other. Also, there is
247 migration (gene flow) between the homo sapiens and Altai (in the single introgression model)
248 and between Homo sapiens and the two Neandertals in the double introgression model. Again,
249 the period of introgression is distributed uniformly between the sampling time of Altai (which is
250 older) to the time that the two Neandertal populations merge. (In the scenario with a single
251 migration event, migration may take place between the sampling time of Altai to the time that all
252 three populations merge). Priors were again uniform. We tried different migration rate (not from
253 a distribution, but distinct values; These values were $M = 0.1, 1, 10, 50$, i.e. $M = 4Nm$, where m
254 is the probability of a person being a migrant, i.e., that it has originated in another population
255 than the sampling population). Overall, we simulated the nucleotide differences among these
256 three “populations” for 500 independent fragments, and the total number of simulated datasets
257 is 2000. The Bayes factor values were low (~ 1) and we could not conclude if a single or double
258 introgression were preferred.

259

260 **Simulations to test the probability of allele sharing between Altai Neanderthal and East** 261 **Asian populations**

262 We observed that a relatively high allele frequency deletion variant and associated haplotype is
263 seen only in the East Asian population showed clear signatures of introgression specifically from
264 the Altai Neanderthal lineage. To test the probability of this observation, we simulated one pulse
265 of introgression from Vindija-like lineage into the ancestors of Eurasians. 200 East Asian, 200
266 European, 200 African 1 Mb long haplotypes for present-day modern humans and 2 haplotypes
267 for each of the archaic genomes (Altai-like, Vindija-like and Denisovan-like) were sampled. The
268 introgressed SNPs in the Eurasian genomes can be tracked with the msprime code that we
269 used (the code can be found here: [github.com/taskent/Multiple-Neanderthal-Introgression-
270 /blob/master/msprime_one_pulse_of_admixture.py](https://github.com/taskent/Multiple-Neanderthal-Introgression/blob/master/msprime_one_pulse_of_admixture.py)). As the deletion located on chr9 is only
271 shared with Altai Neanderthal and East Asians, we have counted number of introgressed
272 derived alleles that are found in the East Asian genomes but not in the European or African
273 genomes (African frequency < 0.05) and only shared with Altai Neanderthal (but not with Vindija
274 Neanderthal or Denisovan). We have then divided this number to (1) total number of
275 introgressed SNPs and (2) total number of SNPs where the East Asian genome(s) carry the
276 introgressed segment with the derived allele. Results indicate that observing a locus like
277 Chromosome-9 deletion is unlikely under a scenario with only one pulse of introgression from
278 the Vindija lineage into the ancestors of Eurasians. Among (a) the 1087951 total introgressed
279 variants, only at 19 of them the derived allele is shared with East Asians and Altai Neanderthal.
280 This is highly improbable ($p = 0.0002$). Furthermore, it remains highly improbable even when we
281 consider only those variants where the introgressed variant is the derived allele ($N = 96304$) (p

282 = 1.75×10^{-5}). The results remain qualitatively unchanged when we added migration between
283 the Neanderthal lineages (point migration rates=0.1, 0.05, 0.01) in the simulations.

284

285 Shared Deletion Variants

286 Deletion polymorphism data for modern humans were gathered from the 1000 Genomes
287 Project, Phase III (1000 Genomes Project Consortium 2015). The 1000 Genomes Project
288 (Phase III) detected 33,350 large deletions (>50 bp), found polymorphic for 2504 human
289 genomes from across 26 populations. As the identification of deletions in the above-mentioned
290 study was performed following extensive validation efforts, we used this data set in our
291 analyses. The average size of the deletions included in this data set is 12202.65 bp (SD =
292 36025.85 bp, median size = 3776 bp; **fig. S2**).

293

294 To detect deletion variants shared between ancient hominins and modern humans, we
295 genotyped ancient hominin genomes for the deletion variants found polymorphic in modern
296 humans. Ancient hominin genomes used in this analysis are as follows: high-quality genome of
297 Altai Neanderthal from Siberia (~50-fold mean coverage, Prüfer *et al.* 2014), high-quality
298 genome of Vindija Neanderthal from Croatia (~30-fold mean coverage, Prüfer *et al.* 2017), low
299 quality genomes of Goyet Neanderthal from Belgium and Les Cottes Neanderthal from France
300 (2.2-fold and 2.7-fold mean coverages for Goyet and Les Cottes Neanderthals, respectively,
301 Hajdinjak *et al.* 2018) as well as the high-quality genome of the Denisovan individual from
302 Siberia (~30-fold mean coverage, Meyer *et al.* 2012). Whereas the Altai Neanderthal sample
303 dates back to ~130,000 years ago (Prüfer *et al.* 2014), the remaining Neanderthal samples
304 dates to comparably much earlier times, all between 50,000 and 40,000 years ago (Prüfer *et al.*
305 2017; Hajdinjak *et al.* 2018). The lineage ancestral to this latter set of Neanderthals replaced
306 earlier Neanderthal populations in western Europe, hence were categorized as late
307 Neanderthals (Hajdinjak *et al.* 2018).

308

309 The bam files for Neanderthal and Denisovan genomes were downloaded from Max Planck
310 Institute for Evolutionary Anthropology's internet repositories:

311

- 312 • Altai Neanderthal, <http://cdna.eva.mpg.de/neandertal/altai/AltaiNeandertal/bam/>;
- 313 • Vindija Neanderthal, <http://cdna.eva.mpg.de/neandertal/Vindija/bam/>;
- 314 • Goyet Neanderthal, <http://cdna.eva.mpg.de/neandertal/GoyetQ56-1/>;
- 315 • Les Cottes Neanderthal, http://cdna.eva.mpg.de/neandertal/LesCottes_Z4-1514/;
- 316 • Denisovan, <http://cdna.eva.mpg.de/denisova/alignments/>

317

318 We used raw read count data for the ancient hominin genomes. Particularly, we counted the
319 number of raw reads coinciding with the regions where deletion variants were detected in
320 human genomes. Raw read count data for these deletion regions correlates well with the size of
321 the region for all ancient hominin genomes except Goyet Neanderthal genome (Altai
322 Neanderthal, $R^2 = 0.025$, p-value < 0.001; Vindija Neanderthal, $R^2 = 0.023$, p-value < 0.001;
323 Denisovan, $R^2 = 0.013$, p-value = 0.014; Les Cottes Neanderthal, $R^2 = 0.029$, p-value < 0.001;
324 Goyet Neanderthal, $R^2 = 0.007$, p-value = 0.22). To detect regions with less than expected
325 number of raw reads, we fitted normal distributions on raw read count data with the mean and
326 standard deviations observed for the ancient hominin genomes (e.g., **fig. S3** for Altai and
327 Vindija Neanderthal genomes). Regions with raw read counts below 0.01 quantile value of the
328 normal distribution were considered as deletions for the ancient hominin genome being

329 genotyped. The Goyet Neanderthal genome comprises three bam files. Thus, we fitted normal
330 distributions on each separate bam file for Goyet Neanderthal and considered regions with raw
331 read counts below 0.01 quantile values of all three normal distributions as deletions for Goyet
332 Neanderthal genome. Similarly, the Les Cottes Neanderthal genome comprises multiple bam
333 files. For six out of eight bam files for the Les Cottes Neanderthal genome, a normal distribution
334 did not seem to be an appropriate approximation to data as below 0.01 quantile values of the
335 normal distributions were <0 or very close to 0. Hence, we removed these bam files from further
336 analyses. We considered regions with raw read counts below 0.01 quantile values of all
337 remaining normal distributions as deletions for the Les Cottes Neanderthal genome. We
338 detected 296 deletions for Les Cottes Neanderthal, 429 deletions for Goyet Neanderthal, 643
339 deletions for Vindija Neanderthal, 621 deletions for Altai Neanderthal and 598 deletions for the
340 Denisovan individual.

341
342
343 As variants introgressed from Neanderthals or Denisovans are not expected to be found in sub-
344 Saharan African genomes, we focused on deletion variants with <0.05 frequency in Yoruba.
345 Intersect function of Bedtools was used to find S^* -significant haplotypes overlapping the deletion
346 variants in this filtered data set (Quinlan and Hall 2010). Deletions variants located within S^* -
347 significant haplotypes detected for the modern human genomes carrying the same deletion
348 variants were classified as introgressed.

349
350 As using only the top 1% of S^* -haplotypes may underestimate the number of introgressed
351 deletions, we repeated the aforementioned analysis with more permissive criteria. To that end,
352 we used the empirical S^* -score distribution and sampled haplotypes with S^* -scores above a
353 certain quantile S^* -score value. The S^* -scores of all haplotypes ranged from 5010 to 1558325.
354 TAs the distribution of S^* -scores is truncated on the left at 5000 due to intrinsic features of S^* -
355 statistics. However, and a manual investigation of the distribution indicates that a better
356 minimum for the S^* -scores would be 10000 (**fig. S1**)., Thus, we retained only the haplotypes
357 with S^* -scores above 10000 for further analyses. We fit a normal distribution with the mean and
358 standard deviation of the empirical data which is truncated on the left at 10000 and used the
359 quantile values of this distribution. From the 0.01th quantile to the 0.99th quantile and by
360 increasing the quantile threshold by 0.01 at each iteration, we retained only the haplotypes with
361 S^* -scores above the quantile threshold value and counted the number of deletions shared and
362 not shared with Neanderthals and found within S^* -haplotypes (**Table S4**).

363
364 At the 0.8th quantile threshold, S^* -haplotypes carry $>50\%$ of all deletions shared with
365 Neanderthals. Thus, in further analyses, we focused on haplotypes with S^* -scores above the
366 0.8th quantile value. To compare the East Asian and European frequencies of deletions found
367 within this set of S^* -haplotypes, we used the Mann Whitney-U test (**Table S5**).

368
369 We used `--hap-r2-positions` function of `vcftools` (Danecek *et al.* 2011) to detect single nucleotide
370 variants in linkage disequilibrium with the deletion variants exclusively shared with one
371 Neanderthal lineage.

372
373 We focused on one deletion variant found on chromosome 9 and shared exclusively between
374 Asians and Altai Neanderthal. We used `VCFToTree` software (Xu *et al.* 2017) to first align human
375 haplotypes included in the 1000 Genomes Project Phase III data set (1000 Genomes Project
376 Consortium 2015), the Neanderthal, Denisovan, chimpanzee haplotypes and then build a
377 phylogenetic tree with these haplotypes for this deletion variant. We used `iTOL` (Letunic and
378 Bork 2016) to visualize the tree.

379
380 **Data Availability Statement:** All data used in this study are available in public domain, the
381 main and supplementary text or in the supplementary table (which is uploaded to GSA Figshare
382 portal - https://figshare.com/articles/_/11950521).
383

384 385 386 **RESULTS AND DISCUSSION**

387 388 **An estimation of introgressed haplotypes in Eurasia**

389
390 It has been suggested that the main gene flow from Neanderthals into the ancestors of present-
391 day Eurasian populations originated from the Vindija branch of the Neanderthal phylogeny
392 (Prüfer *et al.* 2017). It was further suggested that this branch belongs to a late Neanderthal
393 population from which the Vindija Neanderthal descended, which replaced earlier Neanderthal
394 populations, including the population that is represented by the Altai Neanderthal (Hajdinjak *et*
395 *al.* 2018). If true, we expect that haplotypes introgressed from Neanderthals into the present-day
396 human populations to be closer to the Vindija Neanderthal genome compared with the Altai
397 Neanderthal genome. To test this hypothesis, we first identified Neanderthal introgressed
398 haplotypes using *S*-statistics*. This statistic is particularly suitable for our purposes, as it can
399 predict introgressed haplotypes without input from the source of introgression (Vernot and Akey
400 2014).

401
402 We computed *S*-statistics* for 200 individual genomes each of western European (Finnish,
403 British and Utah residents with Central and Western European ancestry) and East Asian
404 ancestry (Japanese, Han Chinese from Beijing and Southern Han Chinese), included in the
405 1000 Genomes Project, Phase I data set (1000 Genomes Project Consortium *et al.* 2012).
406 Similar to (Vernot and Akey 2014), a null distribution for *S*-scores* were created with coalescent
407 simulations not including introgression. Haplotypes with *S*-scores* falling above the 0.99
408 percentile of the null distribution were considered as *S*-significant* putatively introgressed
409 haplotypes.

410
411 *S** uses diploid genotype data to detect introgression at a particular region of the genome. That
412 is, data that *S** uses have 2s for positions homozygous for the derived allele, 1s for
413 heterozygous positions, and 0s for positions homozygous for the ancestral allele. *S** treats
414 positions with genotype scores of one and two equally. In other words, for these positions, *S**
415 acknowledges that the test genome carries the derived allele. Therefore, it does not estimate on
416 which phased chromosome of the test genome the introgressed haplotype is located. To
417 distinguish the introgressed haplotype from the non-introgressed human haplotype found on the
418 other phased chromosome of the same genome, we counted the proportion of single nucleotide
419 variants (SNVs) that *S** used to detect the introgressed haplotype (*S*-significant* SNVs) using
420 phased data available from the 1000 Genomes Project, Phase I release (1000 Genomes Project
421 Consortium *et al.* 2012). We found that indeed the vast majority of the *S*-significant* SNVs
422 reside on a single haplotype (**fig. 1**). Based on this observation, we considered the haplotype
423 carrying the derived allele for more than half of all *S*-significant* SNVs as a putatively
424 introgressed phased haplotype. We then merged the overlapping haplotypes. Based on these
425 criteria, we detected in total 9,435 and 11,027 phased, putatively introgressed haplotypes for
426 East Asian and Western European genomes, respectively (**Table S1**).

427
428

429 **Comparative analysis of introgressed haplotypes suggest additional introgression**
430 **events in Eurasians**

431
432 These introgressed haplotypes gave us a suitable framework to compute average pairwise
433 nucleotide differences (π) between the introgressed haplotypes detected in the human
434 genomes and the high-quality Neanderthal genomes (Altai and Vindija Neanderthals) (**fig. 2A**,
435 **Table S2**). We found that introgressed haplotypes on average are closer to the Vindija
436 Neanderthal genome than to the Altai Neanderthal genome (Wilcoxon rank-sum test, $W = 1.73$
437 $\times 10^8$, p -value = 0.0073). This is in agreement with the earlier findings showing that the
438 admixing Neanderthal population was more closely related to the late Neanderthal lineage
439 which is represented here with Vindija Neanderthal than to the Altai Neanderthal lineage (Prüfer
440 *et al.* 2017). However, we also found that a considerable number of haplotypes match one
441 Neanderthal more than the other, creating an upside-down arrow-shaped pattern in **fig.**
442 **2A**. Given the hypothesis of one-pulse of introgression from a lineage closer to Vindija
443 Neanderthal, we expect that the distances of introgressed haplotypes to the Altai Neanderthal
444 genome should be a function of distances to the Vindija Neanderthal genome. To investigate
445 the relationship between these two variables, we performed a linear regression analysis where
446 the distance to the Vindija Neanderthal genome was used to predict the distance to the Altai
447 Neanderthal genome of the form $Y = a + bX + \epsilon$ ($X = \pi$ between the S*-haplotypes and the
448 Vindija Neanderthal genome, $Y = \pi$ between the S*- haplotypes and the Altai Neanderthal
449 genome, and $\epsilon =$ residuals).

450
451 An analysis of residuals indicate that the residuals deviate from the normal distribution
452 (Kolmogorov Smirnov test, $D = 0.21119$, p -value $< 2.2 \times 10^{-16}$ for East Asia, $D = 0.21465$, p -
453 value $< 2.2 \times 10^{-16}$ for Western Europe; **fig 2B**). Furthermore, the residuals are on average < 0 ($-$
454 4.862733×10^{-22}), suggesting that the distance between the introgressed haplotypes and Vindija
455 Neanderthal genome overestimates the distance to the Altai Neanderthal genome. By
456 calculating the distance from the regression line (**fig. 2B**), we identified haplotypes that are
457 equidistant to Vindija and Altai Neanderthal genomes in East Asian and Western European
458 populations, as well as those that are significantly closer to one Neanderthal genome than the
459 other (**fig. 2A**, **Table 1**). Interestingly, we found a substantial number of haplotypes closer to the
460 Altai Neanderthal genome in both East Asian and European genomes. First, we attempted to
461 estimate the expected number of nucleotide differences (π) between the introgressed
462 haplotypes and the two Neanderthal genomes under one-pulse and two-pulse of introgression
463 scenarios using Bayesian simulations. These estimations would allow us to test whether a
464 single introgression scenario can be rejected. However, the power of these comparisons is
465 greatly hindered by the fact that the Altai Neanderthal individual that is available for analysis
466 was sampled very close in time to the coalescence of Late and Early Neanderthal lineages.
467 Thus, the expected number of informative, lineage-specific variants to be found in the Altai
468 Neanderthal genome is very low. As a result, our Bayesian approach returned inconclusive
469 results (The Bayes factor ≈ 1).

470
471 Next, we conducted a relatively simple, coalescent based estimation for the π that depends on
472 several assumptions. This estimate does not fit with a single introgression model (see
473 **Supplementary Materials** for details), and instead support for more than expected number of
474 Altai-like haplotypes in both Europe and East Asia based on coalescent based analysis (see
475 **Supplementary Materials** for details). While our results are in line with the findings of earlier
476 studies inferring a second pulse of Neanderthal introgression into East Asians (Kim and
477 Lohmueller 2015; Vernot and Akey 2015; Vernot *et al.* 2016), the source of this excess
478 Neanderthal ancestry does not seem to originate from the Altai Neanderthal lineage per se as
479 the proportion of haplotypes showing more than an expected affinity to Altai Neanderthal

480 genome did not differ between East Asians and Europeans (chi-square statistic = 0.08, p-value
481 = 0.78). Collectively, our results are in line with the findings of Villanea and Schraiber (Villanea
482 and Schraiber 2019), who found evidence for independent pulses of Neanderthal introgression
483 into both East Asian and European populations following the initial Neanderthal introgression
484 into the ancestors of these two human populations.
485

486 **Analysis of deletion polymorphisms hint at specific introgression events from the Altai** 487 **lineage**

488
489 Once we established that there may be multiple sources of introgression that explain archaic
490 haplotypes in modern human genomes, we further our search to include large deletion
491 polymorphisms that can be shared by either late or early Neanderthal populations. We are
492 particularly interested in these variants because they are distinctive and as such less likely to be
493 false positives. For example, the deletion calls for these regions cannot be attributed to the
494 technical errors due to the nature of ancient DNA (*i.e.*, short sequencing reads are more difficult
495 to be successfully mapped to the reference genome) or the problems associated with calling
496 structural variants in regions enriched for segmental duplications. If these technical confounding
497 effects were present, we would expect them to be present for all Neanderthal genomes. We
498 reasoned that deletion polymorphisms that are introgressed from specific Neanderthal lineages
499 will have the following characteristics. First, they are the derived allele as compared to the
500 chimpanzee allele. Second, they are shared with either the *early* or *late* Neanderthal lineages,
501 but not with Denisovans. Third, they are not recurrent in the human and Neanderthal lineages -
502 *i.e.*, they have the same breakpoints.
503

504 Based on this reasoning, we first genotyped 33,350 polymorphic large deletions (>50 bp)
505 reported for present-day humans in 1,000 Genomes Project, Phase III data set (1000 Genomes
506 Project Consortium 2015) in the genomes of Altai Neanderthal and late Neanderthals (Vindija,
507 Goyet, and Les Cottés Neanderthals) as well as the Denisovan (**fig. 3A and 3B, Table S3**). We
508 found that a total of 32,271 (~96.8%) large deletions are human-specific and the remaining
509 1,079 (~3.2%) are shared with at least one ancient hominin (**fig. 3C**). This value is consistent
510 with our previous estimates of allele sharing (Lin *et al.* 2015).
511

512 Among those shared ones, we found 113 and 73 deletions that are shared in a lineage-specific
513 manner with the late and Altai Neanderthal branches, respectively (**fig. 3C**), including those that
514 may have functional consequences (**fig. 6**). We considered three scenarios to explain this
515 lineage-specific sharing. First, it is possible that incomplete lineage sorting dating back to the
516 common ancestor of humans and Neanderthals, followed by the loss of the deletion in one
517 Neanderthal branch due to drift can explain this situation. These shared deletions may indeed
518 have introgressed from Neanderthals into human populations. Second, they could either
519 represent ancient population structure within the Neanderthal populations and explain our
520 observation without invoking multiple introgressions. Third, it is plausible that these shared
521 deletions are the putative candidates that represent independent introgression events from
522 these specific branches of the Neanderthal phylogeny into modern humans.
523

524 To enrich our dataset for the introgressed deletions, we first eliminated all deletion
525 polymorphisms that have more than 5% allele frequency in Yoruba. Given that Neanderthal
526 introgression affected the ancestral population of present-day Eurasians, we argue that this step
527 eliminated most of the common deletion alleles that are shared because of incomplete lineage
528 sorting. Second, we used the putatively introgressed haplotypes that we identified in the earlier

529 section of this study (top 1% S^* haplotypes) and asked how many of the deletions reside in
530 introgressed haplotypes found in the same individuals. We found that 90 out of a total 390
531 deletions shared with either Neanderthal lineage overlap the region where we detected
532 putatively introgressed haplotypes in the same human genomes carrying the deletion variant,
533 corresponding to 23% of all shared deletions (**fig. 4A**). The majority of these deletions are not
534 with the Denisovan genome (65 out of 90 total). In a comparative analysis, we found that only
535 ~0.9% (273 out of 29,247) of the human deletions not shared with Neanderthals are within the
536 putatively introgressed haplotypes (**fig. 4A**). Compared to each other, these analyses indicate
537 that deletions that we identified to be shared with Neanderthals clearly reside in putatively
538 introgressed haplotypes more often than expected by chance (chi-square statistic = 1559.9, $p <$
539 0.0001).

540
541 As limiting the data set with top 1% S^* -haplotypes may underestimate the enrichment for
542 introgressed deletions, we progressively loosened the quantile threshold for S^* -haplotypes. To
543 that end, we used the empirical distribution of S^* -scores of all haplotypes detected by S^* (see
544 **Methods**). From 0.99 quantile value to 0.01 quantile value and by decreasing the quantile
545 threshold by 0.01 at each iteration, we retained only the S^* -haplotypes with -scores above the
546 corresponding quantile threshold value. We observed that approximately half of all deletions
547 shared with Neanderthals are found within S^* -haplotypes at 0.8 quantile threshold (**fig. S4**,
548 **Table S4**).

549
550 To make sure to eliminate false positives in our dataset, we analyzed only those deletion
551 variants that are found within the top 1% S^* haplotypes (S^* -score quantile > 0.99). We identify 4
552 and 16 deletion variants, which are strong candidates for being exclusively introgressed from
553 Altai Neanderthal and late Neanderthals, respectively. When we examined the allele frequency
554 distribution of these deletion variants, we found that deletion variants exclusively shared with
555 late Neanderthals have significantly higher frequencies in Europe than in East Asia (Wilcoxon
556 rank-sum test, $W = 183.5$, p -value = 0.038, **fig. 4B**). This trend remained unchanged at all
557 quantile thresholds above 0.8 S^* -score quantile value (**Table S5**). As the size of the putatively
558 introgressed deletion variants does not differ for East Asians and Europeans (average size of
559 putatively introgressed deletions in Europe = 5971.5 bp, average size of putatively introgressed
560 deletions in East Asia = 4857.6 bp, Wilcoxon rank-sum test, $W = 24449$, p -value = 0.84),
561 selection occurring in different strengths against introgressed Neanderthal sequences (in this
562 case, the deletion variants) in the two human populations is unlikely to have created the
563 observed result.

564
565 This result is especially intriguing given that Neanderthal-introgressed variants on average are
566 found in higher frequencies in East Asia than in Europe both in previous studies (Vernot and
567 Akey 2015) and also in our own calculations. One explanation for this observation would be an
568 additional introgression event from a Neanderthal population closer to Vindija Neanderthal into
569 the ancestors of present-day Europeans during their range expansion out of Africa. This
570 scenario was considered in detail and rejected by an excellent simulation-based framework by
571 Currat and Excoffier (2004). However, that study has considered only a single admixing
572 Neanderthal population and did not discriminate between genetic differences among
573 Neanderthal populations. Here, by focusing on only putatively lineage-specific events in an
574 extremely conservative manner, we may have detected a low-level lineage-specific
575 introgression event into the ancestral European population that coincides with its range
576 expansion. This event was not visible in our broader S^* analysis of all introgressed haplotypes
577 plausibly because they were shaped by additional introgression events, as well as the potential
578 noise introduced by incomplete lineage sorting and back migration of west Eurasian groups

579 back in Africa (Villanea and Schraiber 2019; Mondal *et al.* 2019; Chen *et al.* 2020). Overall, our
580 study raises interesting questions that may be conclusively answered when additional
581 Neanderthal samples are available for analysis.
582

583 **The haplotype architecture of deletions shared with specific Neanderthal lineages**

584
585 To better understand the haplotypic architecture of the deletions shared with only late or Altai
586 Neanderthal lineages, we calculated the linkage disequilibrium between those deletion variants
587 and the single nucleotide variants. We were able to identify single nucleotide variants that are in
588 near-perfect LD for the majority of these deletions, allowing us to better resolve their
589 phylogenetic context (**Table S6**). We were specifically interested in the phylogenetic context of
590 the deletions that are shared only with the Altai Neanderthal lineage. As a case example, we
591 further analyzed the haplotypic variation of one such deletion on chromosome 9 (**fig. 5A**), for
592 which we were able to identify a “target” region which harbors multiple SNVs in near-perfect
593 linkage disequilibrium with the deletion (**fig. 5B**). Using data from 5,008 present-day human
594 haplotypes, Denisova, Vindija, and Altai Neanderthal genomes, as well as the chimpanzee
595 reference sequence, we built a maximum likelihood phylogenetic tree of the variation in this
596 target region by using RAxML implemented in VCFtoTree software (Xu *et al.* 2017) (**fig. 5C**).
597

598 This tree confirms several of our assumptions with regards to the ancestry of the deletion, which
599 we think was introgressed from a Neanderthal lineage closer to the Altai branch than the Vindija
600 branch. First, as expected, the chimpanzee sequence is an outgroup to all hominin haplotypes.
601 Second, we found that Neanderthal haplotypes diverged from the presumably ancestral branch
602 that includes Denisovans. This ancestral branch harbors all present-day human haplotypes that
603 do not harbor the deletion polymorphism. Third, within the derived branch, Vindija and Altai
604 Neanderthal haplotype further branch into two clusters, where the all present-day human
605 haplotypes that harbor the deletion variant reside with the Altai Neanderthal which also has the
606 deletion. To estimate the probability of this observation under a model where we assumed a
607 single introgression from Vindija Neanderthal, we conducted additional, simulation-based
608 analyses with msprime (Kelleher *et al.* 2016, see **Methods**). We found that a single
609 introgression model cannot explain the allele frequency distribution and the introgression patten
610 we observed for this haplotype, even when gene-flow from Vindija and Altai Neanderthals were
611 considered ($p \sim 0.0002$). These results collectively indicate that this haplotype was introgressed
612 from the Altai lineage, specifically into the ancestors of East Asian populations.
613

614 To further investigate this issue, we have conducted a more thorough analysis of the broader
615 haplotype that was detected by S^* encompassing the deletion (**fig. 5A**). Specifically, we
616 mapped the Vindija-matched and Altai-matched derived single nucleotide variants across this
617 haplotype block. This analysis revealed an intriguing pattern. We found that Altai Neanderthal-
618 specific alleles homozygously match closely to the introgressed haplotype along the entirety of
619 the ~366 kb of the introgressed haplotype. In contrast, we found that Vindija Neanderthal
620 matches this haplotype only partially and heterozygously. Even though we cannot rule out some
621 sort of structure among Neanderthal lineages that we cannot resolve with the available samples,
622 our results are mostly in line with a model of low-level Altai Neanderthal lineage-specific
623 introgression.
624

625 **Functional consequences of the introgressed deletions**

626

627 We then asked whether any of the deletions and associated haplotypes that are shared
628 specifically with either Vindija or Altai Neanderthals have functional consequences. To do this,
629 we first conducted a general function enrichment analysis using GREAT
630 (<http://great.stanford.edu/>) for the deletion variants that are shared with Neanderthals but found
631 no significant enrichment. Then, we conducted a pheWAS search in the GWAS-Atlas
632 (<https://atlas.ctglab.nl/PheWAS>) using the “tag” SNVs for these deletions. The “tag” SNVs are
633 those that are found in high LD with the deletion variants in human populations. We found that
634 out of 20 deletions that are shared with either Vindija and Altai Neanderthal, 3 of them show
635 associations to traits with a p-value lower than 10^{-7} (**Table S6**). These associations include
636 “Immature fraction of reticulocytes”, “Monocyte percentage of white cells”, and “Diuretics”. Given
637 that the largest variants in each of these haplotypes are deletions, it is highly plausible that they
638 are the causal factor in these associations. We then investigated these particular associations
639 in the UK-Biobank dataset and were able to confirm one of these associations (“Monocyte
640 percentage of white cells”) with a nominal p-value 10^{-9} in this cohort as well (**fig. 6**). We further
641 interrogated the haplotype harboring this deletion that is shared only with Vindija but not Altai
642 Neanderthal genome. We found that this haplotype is mostly found in Western Eurasia (~9%
643 allele frequency) and in lower frequencies in South Asia, but not observed in eastern Eurasia.
644 This haplotype covers the long noncoding RNA *SRP54-AS1* (**fig. 6**), which has been associated
645 with cardiovascular risk in patients with autoimmune disorders. Further characterizations
646 showed that this noncoding RNA regulates the expression patterns of *FAM177A1*, which was
647 argued to play a role in vascular inflammation. Indeed, when we searched for expression
648 quantitative trait loci databases, we were able to find that the introgressed haplotypes harboring
649 the deletion were associated with significantly increased expression of *FAM177A1* in two
650 different heart tissues (**fig. 6**). Overall, this haplotype is an example where a Neanderthal
651 introgressed haplotype has important health consequences through mediating immune
652 response, and in this instance, leading to increased risk of cardiovascular disease.

653
654

655 CONCLUSION

656

657 Recent studies revealed that admixture between different species of humans was widespread in
658 the ancient past (Gokcumen 2019). In this study, we showed that modern humans share
659 different amounts of single nucleotide as well as large deletion polymorphisms with the two
660 Neanderthal lineages. The Altai Neanderthal lineage, on the one hand, represents the ancestral
661 lineage of Neanderthals and was sampled only in Asia and late Neanderthals, on the other,
662 represents a more derived Neanderthal lineage that replaced the ancestral Neanderthal lineage
663 in Europe ~50,000 years ago. We showed that whereas the putatively introgressed haplotypes
664 detected in modern humans genomes from western Europe and East Asia are on average
665 closer to Vindija Neanderthal genome (a late Neanderthal genome) than to Altai Neanderthal
666 genome, there are more than expected number of haplotypes that show excess distances to
667 Vindija Neanderthal genome under a single pulse of introgression model in both East Asia and
668 western Europe. This indicates that multiple pulses of introgression from different lineages of
669 Neanderthals into modern humans occurred for both East Asians and Western Europeans. In
670 line with these results, we found a deletion variant that is located within a 366 kb introgressed
671 haplotype detected in East Asian genomes and exclusively shared between Altai Neanderthal
672 and extant humans from East and Southeast Asia. Coalescent simulations indicate that the
673 allele sharing observed for this locus is highly unlikely under single-pulse of introgression from a
674 lineage closer to Vindija Neanderthal.

675
676

677 Deletion polymorphisms, furthermore, show population differentiation in allele sharing with late
678 Neanderthals for East Asians and Europeans. Specifically, the putatively introgressed deletion
679 variants that are shared with late Neanderthals are found in significantly higher frequencies in
680 Europe than in East Asia. Thus, a second pulse of introgression from a late Neanderthal lineage
681 into the ancestors of Europeans after they split from the East Asians is the most likely scenario.
682 Lastly, we found that a deletion variant that has been introgressed into Europeans from late
683 Neanderthals affects the monocyte count in the UK population and increases the expression of
684 *FAM177A1*, a gene taking part in vascular inflammation, in two heart tissues.

685
686 Our results present a more complex admixture history between modern humans and
687 Neanderthals than what was assumed before and thus, increase our understanding of human
688 evolutionary history. This is in line with the increasing number of studies showing a much more
689 dynamic evolutionary history of humans than previously thought (Xu *et al.* 2017; Chen *et al.*
690 2020; Durvasula and Sankararaman 2020; Rogers *et al.* 2020). We also provide new questions
691 to be investigated by future studies, which will have more power when more Neanderthal
692 sequences will become available. Finally, we showed here that structural variants such as large
693 deletion polymorphisms, when supplemented with single nucleotide variants, provide a powerful
694 tool to study admixture.

695

696

697 **Acknowledgements:** We thank Joe LaChance and Mehmet Somel for their insightful
698 comments and discussion during the preparation of this manuscript. We like to acknowledge
699 Justin Bradley for his initial phylogenetic analyses that hinted multiple introgression events. We
700 thank The National Science Foundation NSF grant No. 1714867 (OG) for allowing us to explore
701 new avenues in anthropological genomics.

702

703

704

705

706
707
708
709

REFERENCES

- 710 1000 Genomes Project Consortium, G. R. Abecasis, A. Auton, L. D. Brooks, M. A. DePristo, *et al.*,
711 2012 An integrated map of genetic variation from 1,092 human genomes. *Nature* 491: 56–65.
- 712 1000 Genomes Project Consortium, 2015 A global reference for human genetic variation. *Nature*
713 526: 68–74.
- 714 Canela-Xandri O., K. Rawlik, and A. Tenesa, 2018 An atlas of genetic associations in UK Biobank.
715 *Nat. Genet.* 50: 1593–1599.
- 716 Chen L., A. B. Wolf, W. Fu, L. Li, and J. M. Akey, 2020 Identifying and Interpreting Apparent
717 Neanderthal Ancestry in African Individuals. *Cell* 180: 677–687.e16.
- 718 Conrad D. F., D. Pinto, R. Redon, L. Feuk, O. Gokcumen, *et al.*, 2009 Origins and functional impact
719 of copy number variation in the human genome. *Nature* 464: 704–712.
- 720 Currat M., and L. Excoffier, 2004 Modern humans did not admix with Neanderthals during their
721 range expansion into Europe. *PLoS Biol.* 2: e421.
- 722 Danecek P., A. Auton, G. Abecasis, C. A. Albers, E. Banks, *et al.*, 2011 The variant call format and
723 VCFtools. *Bioinformatics* 27: 2156–2158.
- 724 Durvasula A., and S. Sankararaman, 2020 Recovering signals of ghost archaic introgression in
725 African populations. *Science Advances* 6: eaax5097.
- 726 Gokcumen O., 2019 Archaic hominin introgression into modern human genomes. *Am. J. Phys.*
727 *Anthropol.* <https://doi.org/10.1002/ajpa.23951>

728 Green R. E., J. Krause, A. W. Briggs, T. Maricic, U. Stenzel, *et al.*, 2010 A Draft Sequence of the
729 Neandertal Genome. *Science* 328: 710–722.

730 Hajdinjak M., Q. Fu, A. Hübner, M. Petr, F. Mafessoni, *et al.*, 2018 Reconstructing the genetic history
731 of late Neanderthals. *Nature* 555: 652–656.

732 Harris K., and R. Nielsen, 2016 The Genetic Cost of Neanderthal Introgression. *Genetics* 203: 881–
733 891.

734 Hudson R. R., 2002 Generating samples under a Wright-Fisher neutral model of genetic variation.
735 *Bioinformatics* 18: 337–338.

736 International HapMap Consortium, K. A. Frazer, D. G. Ballinger, D. R. Cox, D. A. Hinds, *et al.*, 2007
737 A second generation human haplotype map of over 3.1 million SNPs. *Nature* 449: 851–861.

738 Kelleher J., A. M. Etheridge, and G. McVean, 2016 Efficient Coalescent Simulation and
739 Genealogical Analysis for Large Sample Sizes. *PLoS Comput. Biol.* 12: e1004842.

740 Kim B. Y., and K. E. Lohmueller, 2015 Selection and reduced population size cannot explain higher
741 amounts of Neandertal ancestry in East Asian than in European human populations. *Am. J.*
742 *Hum. Genet.* 96: 454–461.

743 Lazaridis I., D. Nadel, G. Rollefson, D. C. Merrett, N. Rohland, *et al.*, 2016 Genomic insights into the
744 origin of farming in the ancient Near East. *Nature* 536: 419–424.

745 Letunic I., and P. Bork, 2016 Interactive tree of life (iTOL) v3: an online tool for the display and
746 annotation of phylogenetic and other trees. *Nucleic Acids Res.* 44: W242–5.

747 Lin Y.-L., P. Pavlidis, E. Karakoc, J. Ajay, and O. Gokcumen, 2015 The evolution and functional
748 impact of human deletion variants shared with archaic hominin genomes. *Mol. Biol. Evol.* 32:
749 1008–1019.

750 Meyer M., M. Kircher, M.-T. Gansauge, H. Li, F. Racimo, *et al.*, 2012 A high-coverage genome
751 sequence from an archaic Denisovan individual. *Science* 338: 222–226.

752 Mondal M., J. Bertranpetit, and O. Lao, 2019 Approximate Bayesian computation with deep learning
753 supports a third archaic introgression in Asia and Oceania. *Nat. Commun.* 10: 246.

754 Moorjani P., S. Sankararaman, Q. Fu, M. Przeworski, N. Patterson, *et al.*, 2016 A genetic method for
755 dating ancient genomes provides a direct estimate of human generation interval in the last
756 45,000 years. *Proc. Natl. Acad. Sci. U. S. A.* 113: 5652–5657.

757 Petr M., S. Pääbo, J. Kelso, and B. Vernot, 2019 Limits of long-term selection against Neandertal
758 introgression. *Proc. Natl. Acad. Sci. U. S. A.* 116: 1639–1644.

759 Prüfer K., F. Racimo, N. Patterson, F. Jay, S. Sankararaman, *et al.*, 2014 The complete genome
760 sequence of a Neanderthal from the Altai Mountains. *Nature* 505: 43–49.

761 Prüfer K., C. de Filippo, S. Grote, F. Mafessoni, P. Korlević, *et al.*, 2017 A high-coverage Neandertal
762 genome from Vindija Cave in Croatia. *Science* 358: 655–658.

763 Quinlan A. R., and I. M. Hall, 2010 BEDTools: a flexible suite of utilities for comparing genomic
764 features. *Bioinformatics* 26: 841–842.

765 Rogers A. R., N. S. Harris, and A. A. Achenbach, 2020 Neandertal-Denisovan ancestors interbred
766 with a distantly related hominin. *Science Advances* 6: eaay5483.

767 Sankararaman S., S. Mallick, M. Dannemann, K. Prüfer, J. Kelso, *et al.*, 2014 The genomic
768 landscape of Neandertal ancestry in present-day humans. *Nature* 507: 354–357.

769 Sudmant P. H., T. Rausch, E. J. Gardner, R. E. Handsaker, A. Abyzov, *et al.*, 2015 An integrated
770 map of structural variation in 2,504 human genomes. *Nature* 526: 75–81.

771 Taskent R. O., N. D. Alioglu, E. Fer, H. Melike Donertas, M. Somel, *et al.*, 2017 Variation and
772 Functional Impact of Neanderthal Ancestry in Western Asia. *Genome Biol. Evol.* 9: 3516–3524.

773 Vernot B., and J. M. Akey, 2014 Resurrecting surviving Neanderthal lineages from modern human
774 genomes. *Science* 343: 1017–1021.

775 Vernot B., and J. M. Akey, 2015 Complex history of admixture between modern humans and
776 Neanderthals. *Am. J. Hum. Genet.* 96: 448–453.

777 Vernot B., S. Tucci, J. Kelso, J. G. Schraiber, A. B. Wolf, *et al.*, 2016 Excavating Neanderthal and
778 Denisovan DNA from the genomes of Melanesian individuals. *Science* 352: 235–239.

779 Villanea F. A., and J. G. Schraiber, 2019 Multiple episodes of interbreeding between Neanderthal
780 and modern humans. *Nat Ecol Evol* 3: 39–44.

781 Wall J. D., M. A. Yang, F. Jay, S. K. Kim, E. Y. Durand, *et al.*, 2013 Higher levels of neanderthal
782 ancestry in East Asians than in Europeans. *Genetics* 194: 199–209.

783 Xu D., P. Pavlidis, R. O. Taskent, N. Alachiotis, C. Flanagan, *et al.*, 2017 Archaic Hominin
784 Introgression in Africa Contributes to Functional Salivary MUC7 Genetic Variation. *Mol. Biol.*
785 *Evol.* 34: 2704–2715.

786

787

788

789

790

791 **Figure Legends**

792 **Figure 1. Phasing Introgressed Haplotypes.** Distribution of the proportion of S^* -significant
793 single nucleotide polymorphisms (SNPs) with the derived alleles on a single phased haplotype
794 per S^* -significant region per test genome. Note that, only one of the two phased haplotypes per
795 genome, the one which carries the derived allele at >0.5 of all S^* -significant SNPs, is shown
796 here. Red and green bars show the frequency clusters of haplotypes detected for East Asian
797 and Western European genomes, respectively, included in the 1000 Genomes Project, Phase I
798 release.

799
800 **Figure 2. Pairwise Nucleotide Distances Between Putatively Introgressed Haplotypes and**
801 **Neanderthal Genomes. A.** Average pairwise nucleotide differences (π) between the
802 Neanderthal introgressed haplotypes detected for 200 genomes from each of East Asian and
803 European populations included in the 1000 Genomes Project data set (Phase I) and the Vindija
804 (x-axis) and Altai Neanderthal genomes (y-axis). The blue lines show the linear regression of
805 the form $Y = 4.3 \times 10^{-5} + 9.4 \times 10^{-1} X + \epsilon$ where π between introgressed haplotypes and the
806 Vindija genome (X) is used to predict π between introgressed haplotypes and the Altai
807 Neanderthal genome (Y) and $\epsilon =$ residuals. Residuals of these linear regressions are used to
808 detect Vindija-like and Altai-like haplotypes. Haplotypes with residuals below the 0.025 quantile
809 value of the empirical distribution are considered as Altai-like and shown in cyan. Haplotypes
810 with residuals above the 0.975 quantile value of the empirical distribution are considered as
811 Vindija-like and shown in magenta. **B.** Normal quantile-quantile (Q-Q) plots for the residuals of
812 the linear regressions between the variables on the x- and y-axes of **A**.

813
814
815 **Figure 3. Genotyping Deletion Variants Found Polymorphic in Modern Humans for**
816 **Ancient Hominin Genomes. A.** Schematic representation of genotyping the Neanderthal
817 genomes for the human-polymorphic deletion variants included in the 1000 Genomes Project
818 data set (phase III). Horizontal slender lines show the raw sequencing reads for ancient hominin
819 genomes. A horizontal tick line represents the human reference genome on which the raw
820 sequencing reads for the ancient hominin genome are mapped. Regions found deleted in
821 modern humans are shown in the lower part of the figure with pink shaded boxes. Box A
822 represents an example region deleted in some modern human genomes where the ancient
823 hominin genome carries raw sequence reads. Box B represents an example region deleted in
824 some modern human genomes where the ancient hominin genome lacks raw sequence reads.
825 **B.** Raw read depth distribution of Neanderthal genomes for the regions found polymorphically
826 deleted in humans. Histograms above the x- and y-axes show the read-depth distribution for the
827 Altai and Vindija Neanderthal genomes. A normal distribution with the mean and standard
828 deviation of the empirical read depth distribution was fitted on the empirical read-depth
829 distribution for the two Neanderthal genomes. Cyan and Magenta lines show the 0.01 quantile
830 values of normal distributions (34 and 20 for Altai and Vindija Neanderthal, respectively). Cyan
831 and magenta points below these lines are considered as deleted in the Altai and Vindija
832 Neanderthal genomes, respectively. Blue points show the regions where both Neanderthal
833 genomes are genotyped as deleted. Black points show the regions where both Neanderthal
834 genomes carry the intact sequences. **C.** A schematic tree of ancient hominins and modern
835 humans. The numbers of deletion variants shared with each branch of ancient hominins are
836 shown on the corresponding branches.

837
838 **Figure 4. Enrichment for Introgression and Population Differentiation of Human**
839 **Polymorphic Deletion Variants Shared with Neanderthals. A.** Pie-charts show the proportion
840 of deletion variants found (red) and not found (light blue) in S^* -significant introgressed

841 haplotypes. Pie-charts for deletion variants shared and not shared with Neanderthals are shown
842 above and below, respectively. **B.** Frequencies of deletion variants overlapping S*-significant
843 putatively introgressed haplotypes in European, East Asian and Yoruba populations included in
844 1000 Genomes Project data set Phase III release. X-axis shows with which Neanderthal lineage
845 the deletion variants are shared. Note that, the deletion variants shared with Altai and late
846 Neanderthal lineages are exclusively shared with those lineages. Significant frequency
847 differences between populations are shown with asterisks on the top of the plots. *: p<0.05; **:
848 p<0.01; ***: p<0.001.

850 **Figure 5. An example deletion variant shared between East and South East Asians and**
851 **Altai Neanderthal. A.** The 5.1 kb long deletion variant is located on the q21.3 segment of
852 chromosome 9. Single nucleotide variants (SNV) in high LD with the deletion are found in the 8
853 kb upstream flanking region of the deletion. A 366 kb long putatively introgressed S* haplotype
854 overlapping the deletion variant was detected for 3 East Asian chromosomes carrying the
855 deletion. **B.** Linkage Disequilibrium between the deletion variant and SNVs found in the
856 upstream flanking region of the deletion. 8 kb upstream flanking region where SNVs in high LD
857 with the deletion are found is delimited between the purple dashed lines. This region was used
858 to build the phylogenetic tree to understand the evolutionary relationships between the
859 haplotypes carrying and not carrying the deletion. The deletion variant encompasses a 5.1 kb
860 long region downstream of the starting position shown by the red line in the figure **C.** A
861 maximum likelihood phylogenetic tree built with the sequences overlapping the 8 kb upstream
862 flanking region of the deletion variant. All human haplotypes carrying the SNVs in high LD with
863 the deletion variant are found in the same branch of the tree with Neanderthals. This branch is
864 basal to other branches of the tree including other human haplotypes. Significant bootstrap
865 supports (>90) are shown in the tree. The phylogenetic tree was built with RAxML implemented
866 in VCFtoTree software (Xu *et al.* 2017) and visualized with iTOL (Letunic and Bork 2016).

867 **Figure 6. A deletion variant shared between modern humans and late Neanderthals. A.**
868 UCSC Genome-browser snapshot of the genomic region encompassing the deletion variant
869 (esv3634045, position: chr14:35428788-35432438) as well as the SNVs in high linkage
870 disequilibrium (LD) with the deletion variant ($R^2 > 0.5$). The genotype of Vindija Neanderthal for
871 SNVs in high LD with the deletion variant are shown with red vertical bars above. For all SNVs
872 in high LD with the deletion variant for which genotype data was available for Neanderthals,
873 Vindija Neanderthal carried at least one derived allele, hence the red vertical bars. UCSC genes
874 are shown in dark blue; long noncoding RNAs are shown in pink; the 3.6 kb long deletion variant
875 is shown in the light blue bar. **B.** Haplotype carrying the deletion variant has phenotypic effects
876 in the UK population. An example SNV in high LD with the deletion variant is associated with
877 decreased monocyte count and percentage in the UK population. The x- and y-axes show
878 different traits and the negative log-transformed p-values of the correlation between the trait and
879 the SNV. The dashed red line represents the multiple-hypotheses corrected p-value threshold
880 for significance. The figure was obtained from GeneATLAS data set (Canela-Xandri *et al.* 2018).
881 **C.** GTEx gene expression profile of *FAM177A1* under the influence of an SNV in high LD with
882 the deletion variant. SNVs in high LD with the deletion variant affect the expression of
883 *FAM177A1* in multiple tissues including two heart tissues in humans. Tissue names, sample
884 sizes for each tissue, the effect size of the expression quantitative trait locus (eQTL) of
885 *FAM177A1*, m-value are shown in the first five columns of the table. Mean effect sizes of
886 *FAM177A1* with 2 standard deviations (SD) around the means for each tissue are shown on the
887 sixth column. The figure was obtained from the GTEx Portal on 06/10/2019.

889

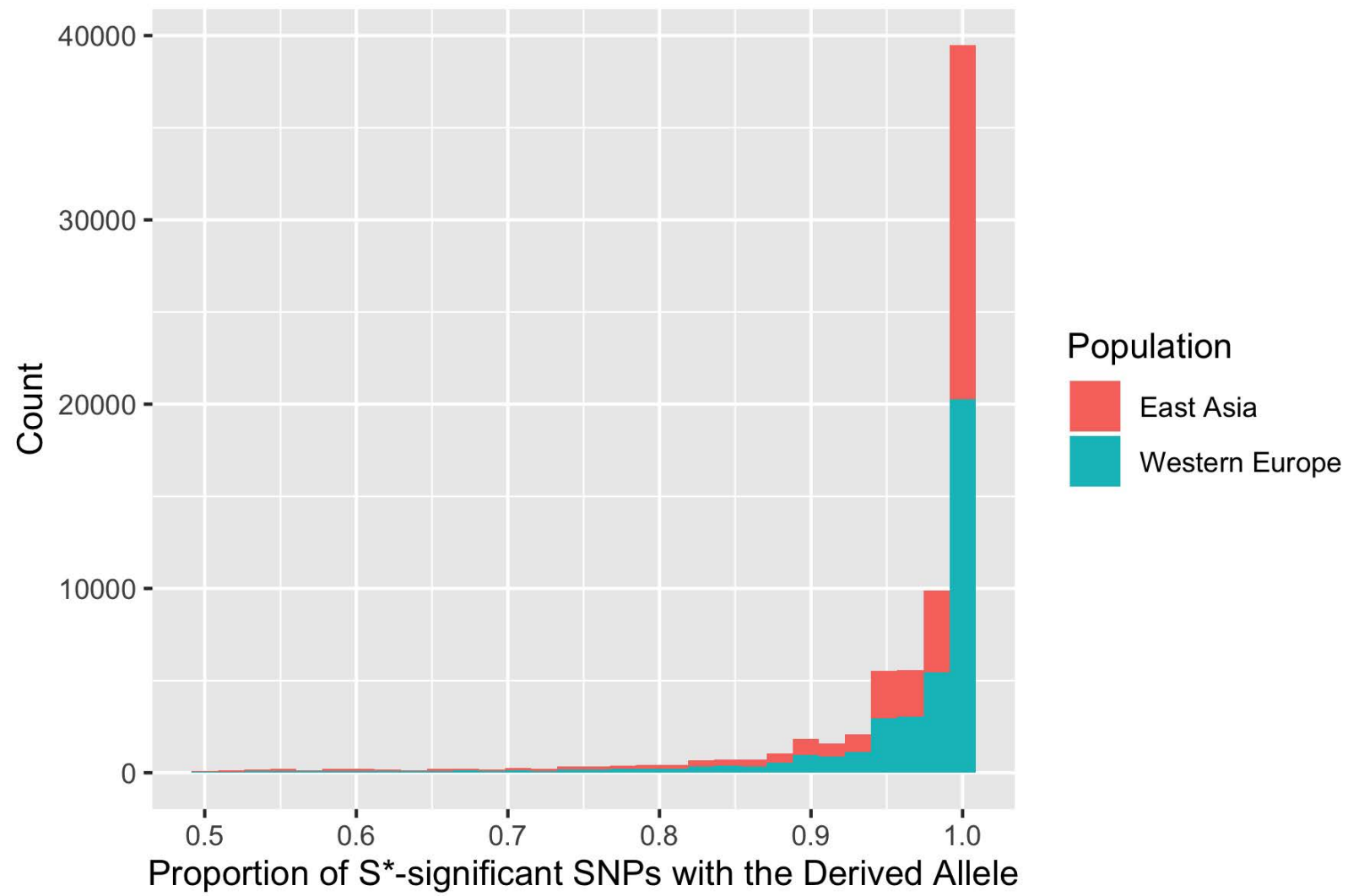
890
891

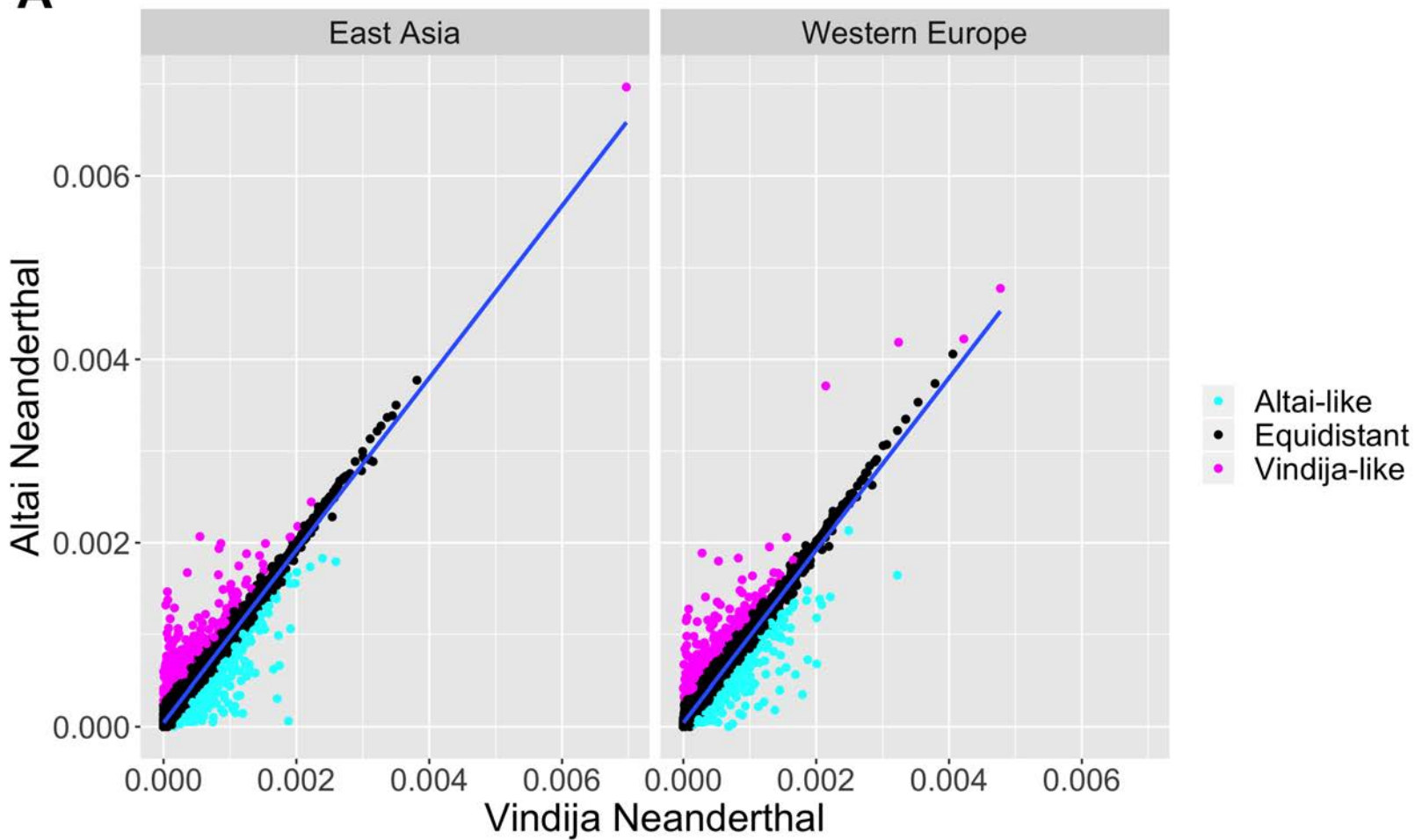
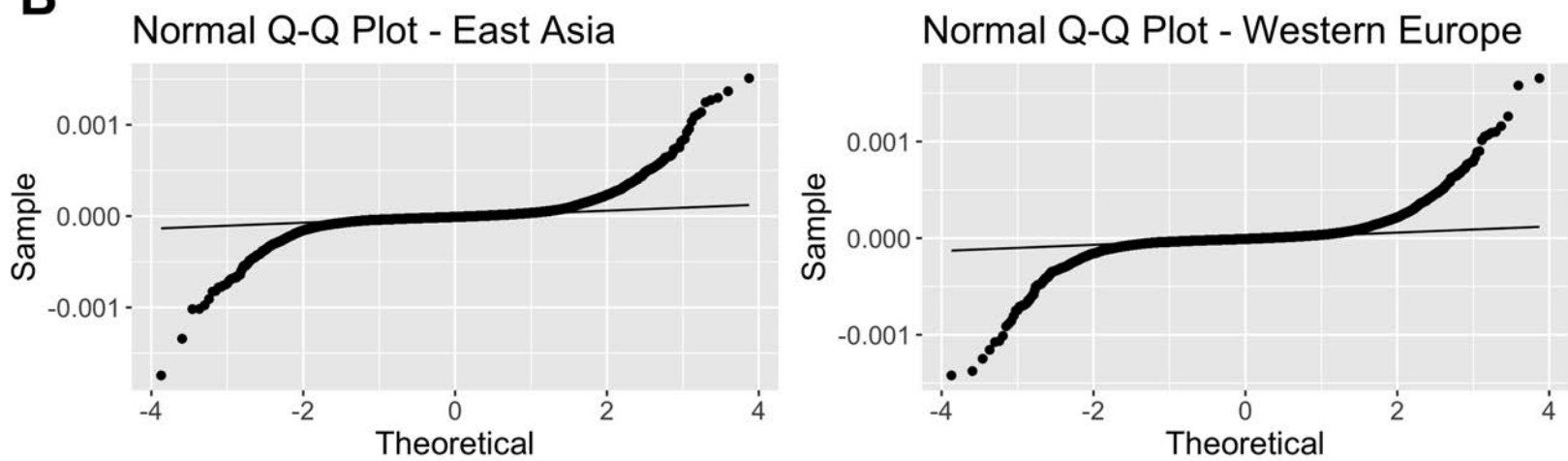
	Equidistant	Altai-like	Vindija-like
Western Europe	8766	234	220
East Asia	8790	229	242

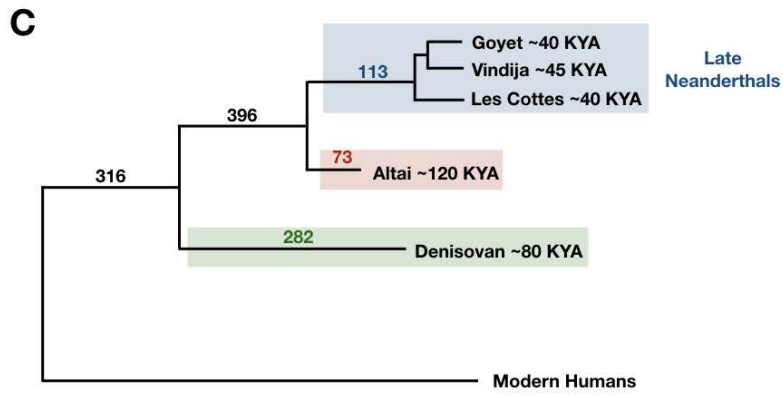
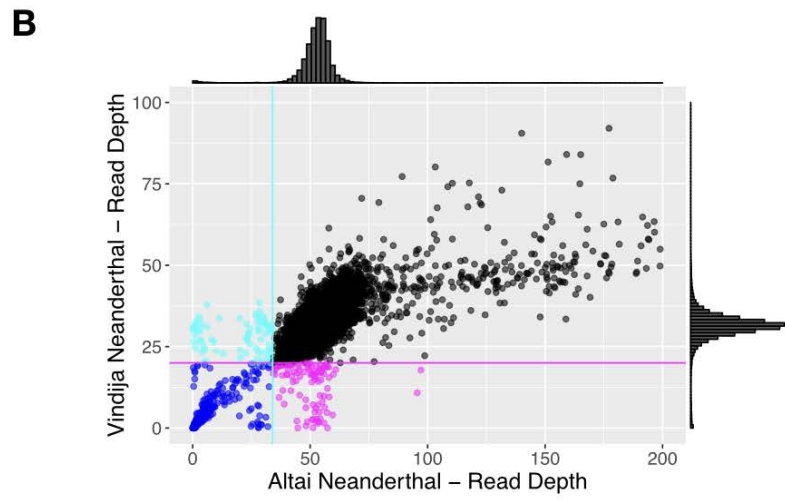
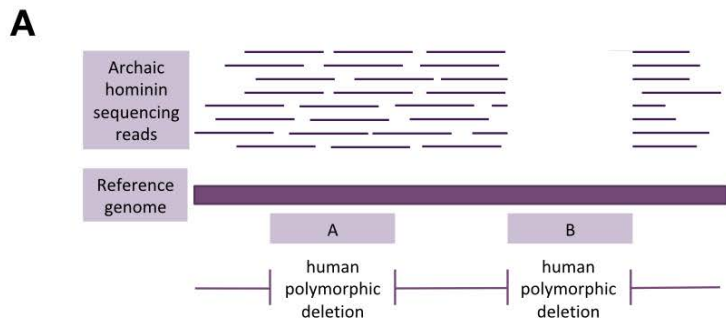
892 **Table 1:** The number of putatively introgressed haplotypes. Haplotypes are categorized based on their distance to
893 early and late Neanderthal genomes.

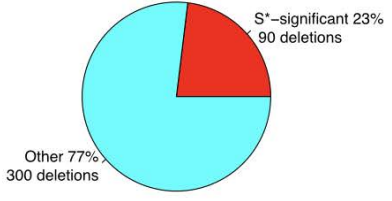
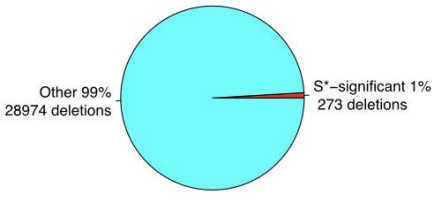
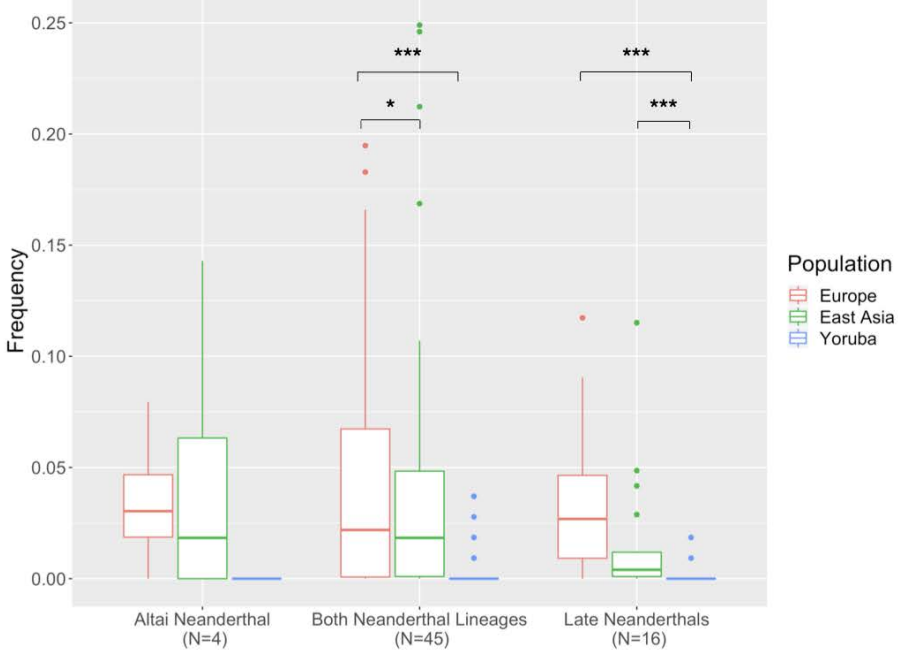
894

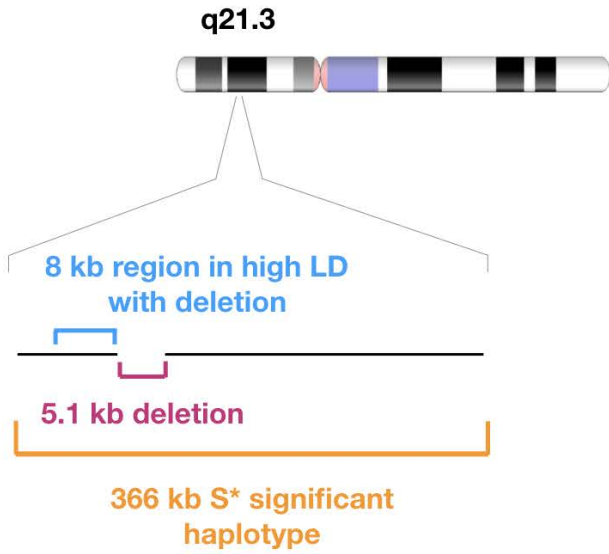
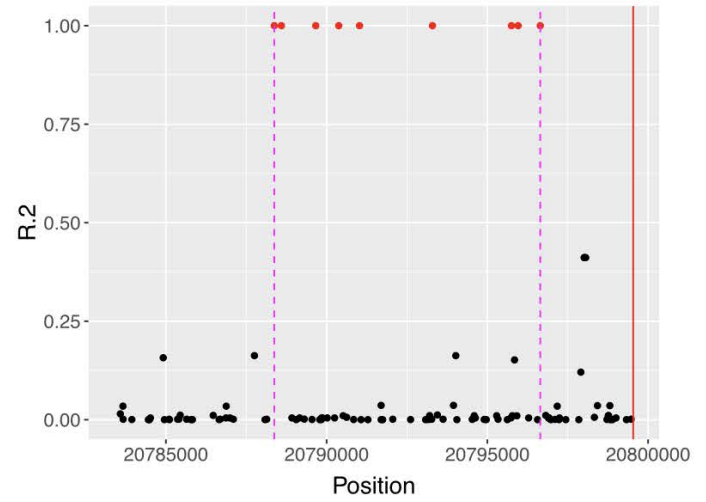
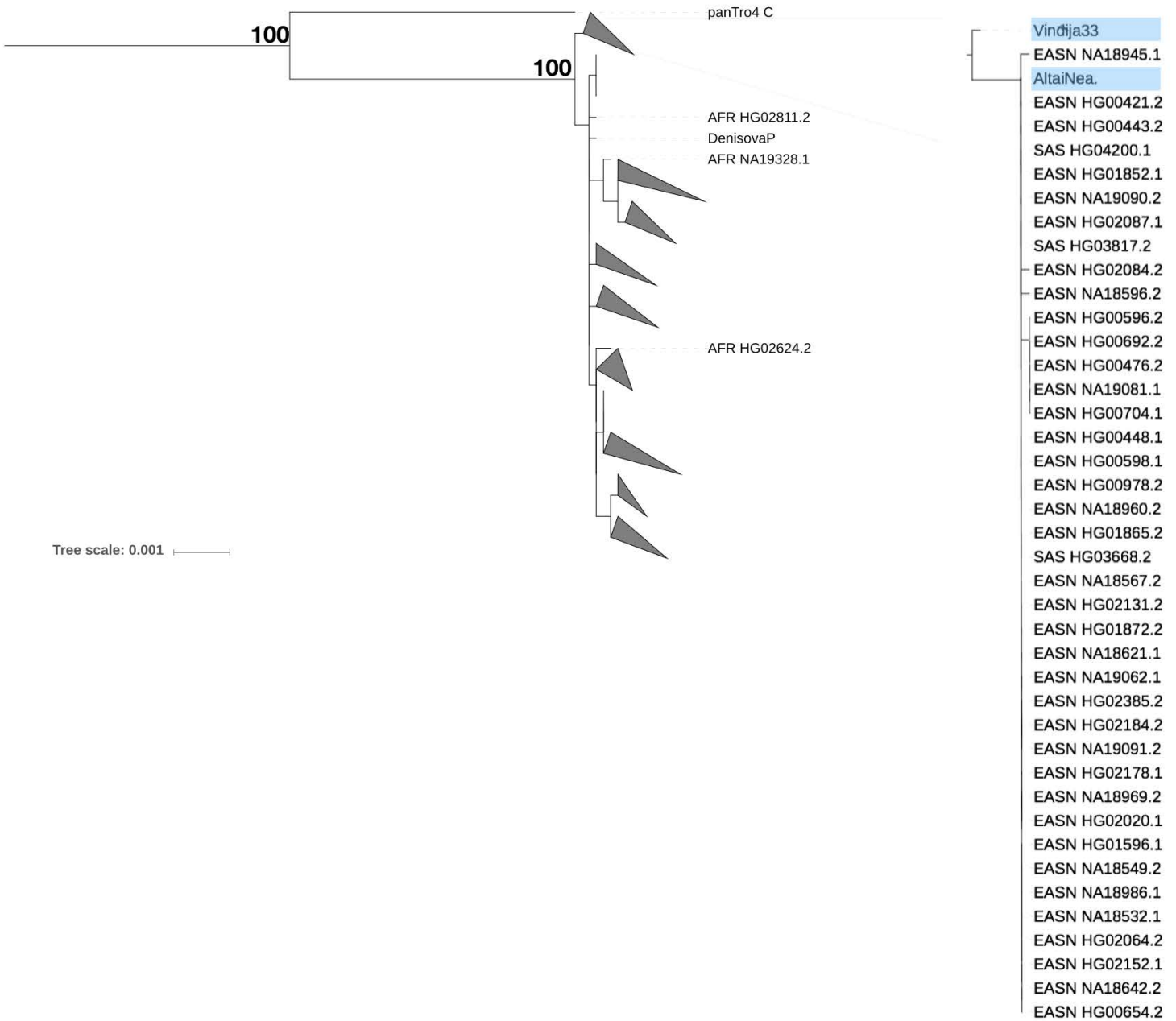
895



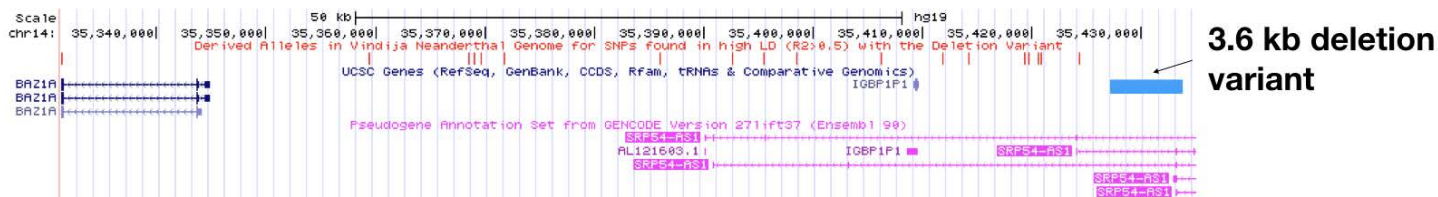
A**B**



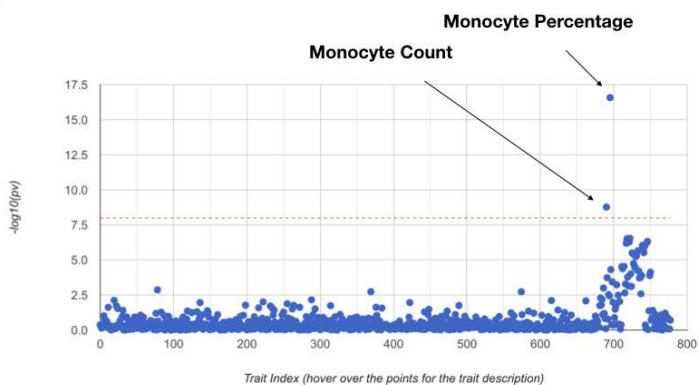
A**Deletions shared with Neanderthals****Deletions not shared with Neanderthals****B****Deletions Overlapping S*-Haplotypes**

A**B****C**

A



B



C

