

Using Reporter Gene Assays to Identify *cis* Regulatory Differences Between Humans and Chimpanzees

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ABSTRACT

Most phenotypic differences between human and chimpanzee are likely to result from differences in gene regulation, rather than changes to protein-coding regions. To date, however, only a handful of human–chimpanzee nucleotide differences leading to changes in gene regulation have been identified. To hone in on differences in regulatory elements between human and chimpanzee, we focused on 10 genes that were previously found to be differentially expressed between the two species. We then designed reporter gene assays for the putative human and chimpanzee promoters of the 10 genes. Of seven promoters that we found to be active in human liver cell lines, human and chimpanzee promoters had significantly different activity in four cases, three of which recapitulated the gene expression difference seen in the microarray experiment. For these three genes, we were therefore able to demonstrate that a change in *cis* influences expression differences between humans and chimpanzees. Moreover, using site-directed mutagenesis on one construct, the promoter for the *DDA3* gene, we were able to identify three nucleotides that together lead to a *cis* regulatory difference between the species. High-throughput application of this approach can provide a map of regulatory element differences between humans and our close evolutionary relatives.

In addition to substitutions at the protein level, changes in gene regulation are likely to underlie many phenotypes of interest, including adaptations and human diseases (BRITTEN and DAVIDSON 1971; KING and WILSON 1975; JIN *et al.* 2001; CARROLL 2003; ABZHANOV *et al.* 2004; IFTIKHAR *et al.* 2004; SHAPIRO *et al.* 2004; TARON *et al.* 2004). Regulation of gene expression may be achieved by a large number of transcriptional and translational mechanisms (reviewed in WRAY *et al.* 2003). At the transcription level, regulatory mechanisms include transcriptional initiation, chromatin condensation, DNA methylation, alternative splicing of RNA, and mRNA stability (WRAY *et al.* 2003). For most genes, however, transcriptional initiation appears to be the principal determinant of the overall mRNA gene expression profile (LEMON and TJIAN 2000; WHITE 2001; WRAY *et al.* 2003).

Transcriptional initiation is regulated by a combination of *trans* elements binding to *cis* regulatory sequences. The relative contribution of changes in *cis* and *trans* regulatory elements to the evolution of gene regulation remains largely unknown. However, accumulating evidence suggests that changes in *cis* may underlie many of the mRNA expression differences observed between individuals, strains, or species (DICKINSON 1988). For example, COWLES *et al.* (2002) observed that of 69 genes that are differentially expressed in four different mice

strains, at least 4 (6%) show large allelic difference in expression level (>1.5-fold) in F₁ hybrids, indicative of differences in the *cis* regulatory regions. In yeast, YVERT *et al.* (2003) found that a minimum of 25% of expression differences between strains are due to changes in *cis* regulatory regions. WITTKOPP *et al.* (2004) demonstrated that 28/29 studied differences in gene expression between *Drosophila melanogaster* and *D. simulans* can be at least partially explained by differences in *cis* regulatory regions. In humans, when MORLEY *et al.* (2004) mapped gene expression phenotypes, they found that 19% of significant associations mapped in *cis*. Thus, although the fraction of variation in gene expression levels explained by variation in *cis* remains unknown, the proportion is likely to be substantial (more examples can be found in a review by GIBSON and WEIR 2005).

From a theoretical perspective, changes in *cis* regulatory elements are thought to underlie a large number of adaptive phenotypes because mutations in these elements may be more likely to produce circumscribed expression pattern changes without deleterious pleiotropic effects (STERN 2000; CARROLL *et al.* 2004; GOMPEL *et al.* 2005). Consistent with this view, *cis*-regulatory mutations, through their effect on gene expression levels, were found to underlie important phenotypes in a range of organisms, including beak morphology in Darwin's finches (ABZHANOV *et al.* 2004), bristle patterns and wing pigmentation in fruit flies (STERN 1998; GOMPEL *et al.* 2005), branching structure in maize (CLARK *et al.* 2006), skeletal patterning and pelvic reduction in

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sticklebacks (CRESKO *et al.* 2004; SHAPIRO *et al.* 2004), and parental care in rodents (HAMMOCK and YOUNG 2005). In humans, mutations in putative *cis* regulatory regions have been associated with well over 100 phenotypes including diverse aspects of behavior, physiology, and disease (reviewed in KLEINJAN and VAN HEYNINGEN 2005 and WRAY 2007).

In primates, interspecies gene expression studies suggest that extensive regulatory changes have occurred, with 10–20% of genes (depending on the tissue) found to be significantly differentially expressed between humans and chimpanzees (KHAITOVICH *et al.* 2005; GILAD *et al.* 2006). A subset of these genes exhibits patterns of interspecies expression consistent with the action of positive (directional) selection on gene regulation in humans (GILAD *et al.* 2006), suggesting that changes in expression in these genes are functionally important. However, while many human-specific adaptations in gene copy number and protein sequence have been documented, there are only a few known examples of differences in *cis* regulation between humans and other apes (HUBY *et al.* 2001; ROCKMAN *et al.* 2003, 2005). The lack of examples of nucleotide substitutions between human and chimpanzee in functional *cis* regulatory elements is unlikely to reflect their lack of importance to human adaptations or disease. Instead, it probably stems from the difficulty of identifying specific regulatory elements that may underlie the interspecies expression differences (WRAY *et al.* 2003; WRAY 2007). In particular, *cis* regulatory elements can be located up to hundreds of kilobases away from genes (*i.e.*, long-range *cis* regulatory elements (PASTINEN *et al.* 2006; PRABHAKAR *et al.* 2006), complicating their identification.

Promoter regions, which are located just upstream from transcription start sites (TSS) of genes, may be the simplest *cis* regulatory elements to identify (TRINKLEIN *et al.* 2003; COOPER *et al.* 2006; PASTINEN *et al.* 2006). That said, predicting the effect of sequence variation in promoter regions on gene regulation is not straightforward (WRAY *et al.* 2003; WRAY 2007). While few nucleotide changes in promoter sequences can have a substantial effect on gene regulation (*e.g.*, STORGAARD *et al.* 1993; HAUDEK 1998), many sites in promoter regions can change without a discernable effect on the gene expression profile (*e.g.*, TAKAHASHI *et al.* 1999; WOLFF *et al.* 1999). In humans, only 10–20% of polymorphic sites within promoters are estimated to have an effect on gene regulation (BUCKLAND *et al.* 2004a,b). One approach to confirm putative *cis* regulatory variation is to test the ability of different variants to enhance transcription using reporter gene assays (TRINKLEIN *et al.* 2003; WRAY 2007). By this approach, 70–90% of putative human promoters, predicted on the basis of the TSS, can be empirically confirmed in human cell lines (TRINKLEIN *et al.* 2003; COOPER *et al.* 2006).

Here, we used reporter gene assays to test for differences in transcriptional activity between human and

chimpanzee promoters for 10 genes. We chose this set of genes because, in a previous study (GILAD *et al.* 2006), their expression levels in livers were similar among individuals from three nonhuman primate species, but were consistently elevated or reduced in humans—a pattern consistent with stabilizing selection on expression in nonhuman apes and with directional selection in the human lineage. We hypothesized that interspecies differences in promoter activity of these genes may underlie the observed gene expression patterns and could point to *cis* regulatory changes that were under selection in humans.

MATERIALS AND METHODS

Quantitative RT-PCR: Of the 19 genes whose regulation has been previously inferred to evolve under directional selection in humans (GILAD *et al.* 2006), we chose to study the promoter activity of 13 genes, selected randomly among them. (We originally chose 10 genes, but we failed to amplify a PCR product for the predicted promoters of three of those genes, which were then replaced; see supplemental Table 1 at <http://www.genetics.org/supplemental/>.) Our general approach to study differences in promoter activity is similar to that of HEISSIG *et al.* (2005), who studied differences in activity between 12 human and chimpanzee promoters. However, HEISSIG *et al.* (2005) chose their genes on the basis of interspecies gene expression data from a single-species microarray, which can lead to a high error rate (GILAD *et al.* 2005), and did not confirm their original observations using an alternative approach, making it difficult to interpret their results. Instead, we started our study by using TaqMAN (Applied Biosystems, Foster City, CA) quantitative RT-PCR to validate the microarray results for the 10 genes in which we successfully obtained amplifications of their putative promoters (see below). More specifically, we designed PCR primers and TaqMAN probes for gene regions that are identical between human and chimpanzee (a list of PCR primers and TaqMAN probes is available in supplemental Table 1). As templates, we used total RNA from livers of three humans and three chimpanzees, which are different from the individuals that were originally used in the microarray study (GILAD *et al.* 2006). We synthesized first-strand cDNA using 5 µg of each RNA sample and pooled together the three cDNA samples from each species. Quantitative RT-PCR was performed in a 25-µl reaction containing 2× JumpStart Taq ReadyMix (Sigma, St. Louis), 0.2 pmol each primer, 100 pmol dual-labeled probe (BHQ-1 and FAM) (Sigma-Genosys), and 1 µl cDNA template. PCR was performed in a 7900HT Fast Real-Time PCR system (Applied Biosystems), in three technical replicates for each sample of pooled cDNA. The detection threshold cycle for every reaction was determined using a standard curve, after normalization of the results using quantitative RT-PCR with primers for the *POLR2C* gene, which was shown to have constant expression levels in livers of humans and chimpanzees (GILAD *et al.* 2006). The significance of differences in transcript levels between species was assessed by a (one-tailed) *t*-test.

Reporter gene assays: For each of the 13 genes, we used the database of transcription start sites (DBTSS; <http://dbtss.hgc.jp/index.html>) to identify the TSS on the basis of their longest known transcript. Using the database information, we designed PCR primers to amplify a product from ~100 bp downstream of the putative TSS to ~900 bp upstream of it, from both human and chimpanzee genomic DNA (the list of all primers and PCR conditions is available in supplemental Table 1 at

<http://www.genetics.org/supplemental/>). We ligated the PCR products into the Luciferase reporter gene vector pGL4.14 (Promega, Madison, WI) and cloned them in JM109 competent cells. We used touchdown PCR to amplify and then sequence (using an ABI3730 automated sequencer) the insert from individual colonies to confirm that no Taq-generated errors were incorporated. We did so by comparing the sequence of the individual inserts to the available human and chimpanzee genomic sequence (found at <http://genome.ucsc.edu/>).

Once the sequence of the insert from individual colonies was confirmed, we proceeded by extracting the plasmid and using it in transfections of human liver HEP cells by using Lipofectamine 2000 (Invitrogen, San Diego) with 200 ng of each plasmid. The HEP cells were also transfected with 20 ng of the Renilla vector pGL4.73 (Promega). The cotransfection allows us to normalize across experiments for transfection efficiency. Luciferase and Renilla activity were measured 24 hr after transfection, using the Dual-glo Luciferase kit (Promega) in a Veritas 96-well plate luminometer (Turner Biosystems).

Reporter gene study design and analysis: The Luciferase activity of each construct was measured using 5 replicates (independent transfections) or 15 replicates for the *DDA3* constructs (see below). In addition, we measured Luciferase activity for an empty (*i.e.*, with no promoter) pGL4.14 vector, in 5 replicates, to estimate background Luciferase transcription levels. For each replicate, we normalized Luciferase by Renilla luminescence values to control for transfection efficiency. We then standardized the normalized luminescence values by the background activity (of the empty vector). Constructs were identified as enhancing transcriptional activity when the average luminescence across the 5 replicates was at least twice as high as that of the empty vector. When both the human and chimpanzee putative promoters successfully enhanced transcription, we used a one-tailed *t*-test to test for differences in promoter activity between the species (the test is one-tailed because we have an *a priori* expectation from the microarray and quantitative RT-PCR results). With respect to the use of a *t*-test, we note that the data do not depart significantly from a normal distribution (using the Shapiro-Wilk test for normality; see supplemental Figure 1 at <http://www.genetics.org/supplemental/> for examples of quantile-quantile plots). Unfortunately, since chimpanzee liver cell lines are not available, we could not perform the reciprocal experiment.

***DDA3* constructs and analysis:** The human and chimpanzee *DDA3* promoter constructs that we used differ by five nucleotides at positions -291, -295, -339, -593, and -921 (the “-” sign indicates that these sites are upstream of the TSS, which is designated position 0). To identify the nucleotides that underlie the difference in activity between the human and chimpanzee promoters, we built six constructs with different nucleotide compositions (see RESULTS). To do so, we initially used digestion with the *ApoI* restriction enzyme (New England Biolabs, Beverly, MA), followed by ligations of reciprocal ends of the human and chimpanzee promoters. This step resulted in two “combo” constructs, each containing approximately half the human and half the chimpanzee promoters. Next, we used the Quikchange II site-directed mutagenesis kit (Stratagene, La Jolla, CA) to introduce individual nucleotide changes to each of the existing constructs. Reporter gene assays with all *DDA3* plasmids were performed in 15 replicates to increase the power to detect subtle but consistent differences between constructs that differ by only one nucleotide substitution.

To fit a linear model to the measurements of the expression level in each experiment we used the R software environment for statistical computing (<http://www.r-project.org>). In contrast to the analysis of results from different pairs of constructs at a time (using a *t*-test; see above), when we considered the

combined data from all the *DDA3* combinations, we found that the residuals were not normally distributed (Shapiro-Wilk test for normality; $P = 0.003$). Thus, we transformed the data using the Box-Cox transformation $y^{(\lambda)} = (y^\lambda - 1)/\lambda$, where λ was estimated using the R function `box.cox.powers` (in the package “car”). The `lm` function was then used to fit the linear model

$$y = \alpha_1 x_1 + \alpha_2 x_2 + \alpha_3 x_3 + \beta + \epsilon,$$

where y consists of the expression values from 60 measurements (15 replicates of the original human promoter, 15 replicates of constructs with nucleotide substitutions at positions -219 and -295, 15 replicates of constructs with nucleotide substitution at position -339, and 15 replicates of constructs with all three nucleotide substitutions), and x_1 , x_2 , and x_3 are categorical variables that represent the effect of substitutions at positions -219 and -295 only, the effect of substitution at position -339 only, or an interaction effect of all three substitutions, respectively.

RESULTS

Using gene expression estimates from a multispecies cDNA microarray (GILAD *et al.* 2005), we previously identified 19 genes whose regulation in liver has likely evolved under positive selection in the human lineage (GILAD *et al.* 2006). Specifically, the expression levels of these genes are similar in the livers of chimpanzees, orangutans, and rhesus macaques, but are significantly elevated or reduced in human livers. Our aim here was to ask whether nucleotide differences in the putative promoters of these genes might contribute to the observed difference in expression levels between humans and other primates.

To address this question, we focused on 10 genes that show either elevated (*DUSP6*, *BAT8*, *LGALS4*, *BTAFL1*, *TIMP3*, *FCN3*, and *GOSRI*) or reduced (*CTSC*, *DDA3*, and *ACADSB*) expression levels in human livers relative to the nonhuman primates on the basis of the microarray results. As a first step, we validated the microarray observations of interspecies differences in gene expression by performing quantitative RT-PCR using RNA from human and chimpanzee livers as a template (see MATERIALS AND METHODS). Importantly, we did not use RNA from the same individuals that were used in the microarray study, so that we are corroborating the inference of interspecies expression patterns, as well as the reliability of the microarray observations. For 9 of the 10 genes (all but the gene *BAT8*), we confirmed a significant difference in expression levels between the species, in the same direction as seen on the array (Figure 1). Confirming the microarray results for 9 of 10 genes is consistent with the original false discovery rate (FDR) (BENJAMINI and HOCHBERG 1995) that was used to identify differentially expressed genes from the microarray data [*i.e.*, an FDR of 0.05 (GILAD *et al.* 2006)]. Another possibility is that the expression levels of *BAT8* in the liver are polymorphic in humans or chimpanzees.

Reporter gene assays: Next, we tested whether the interspecies difference in gene expression could be

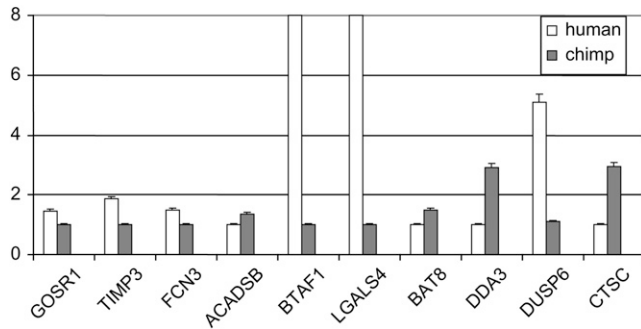


FIGURE 1.—Quantitative RT-PCR results. Mean fold differences (y-axis) and standard errors for three replicates are given for either the human (open bars) or the chimpanzee (shaded bars) RNA templates. For each gene (x-axis), results were standardized on the basis of the species with the lower expression level (set to one). All gene expression differences between human and chimpanzee are significant at $P < 0.05$.

explained, at least in part, by sequence differences between human and chimpanzee in the region immediately upstream of the TSS, *i.e.*, in the putative promoter. To do so, we used the DBTSS (<http://dbtss.hgc.jp/index.html>) to identify the TSS of each of the 10 genes, on the basis of their longest known transcript. On the basis of that information, we designed PCR primers to amplify a product from ~ 100 bp downstream of the putative TSS to ~ 900 bp upstream of it, from both human and chimpanzee genomic DNA. These ~ 1 -kb segments likely contain the proximal promoter as well as some of the *cis* regulatory elements for the 10 genes (TRINKLEIN *et al.* 2003; COOPER *et al.* 2006; WRAY 2007). We ligated these products into a Luciferase reporter gene vector and confirmed the sequence of the insert by direct sequencing (see MATERIALS AND METHODS). The sequence divergence between human and chimpanzee in these 10 putative promoters was found to be 0.55–1.3%, consistent with genomewide estimates (THE CHIMPANZEE SEQUENCING AND ANALYSIS CONSORTIUM 2005).

We used the Luciferase constructs to transfect human liver cell lines (Hep) with the empty (control) vector, the vector containing the human promoter, or the one with the chimpanzee promoter. We performed five independent replicates of each transfection. As a measure of transfection efficiency, the Luciferase plasmids were cotransfected along with a vector containing a Renilla gene downstream of an SV40 constitutive promoter. We then measured Luciferase activity in each sample, normalized by Renilla activity, and tested the ability of the different constructs to increase Luciferase activity (by at least twofold) beyond the background activity of the control empty vector (Table 1). If both the chimpanzee and the human promoters were found to be active, we examined whether the relative activity of the promoters from both species is in the same direction as seen in the microarray experiments (GILAD *et al.* 2006).

As can be seen in Table 1, promoters for three of the genes (*TIMP3*, *FCN3*, and *ACADSB*) failed to increase

TABLE 1

Reporter gene assays of 10 human and chimpanzee promoters

Gene	Human average ^a	Human SD	Chimpanzee average ^a	Chimpanzee SD
<i>BTAF1</i>	265.8	42.1	331.1	50.7
<i>CTSC</i> *	151.9	10.1	177.4	18.7
<i>GOSR1</i> *	122.5	8	164.4	12.5
<i>DUSP6</i> *	83.2	2.3	75.4	6.4
<i>DDA3</i> *	38.5	2.87	45.9	5.4
<i>BAT8</i>	3.5	0.18	3.5	0.2
<i>LGALS4</i>	3.3	0.5	3.1	0.6
<i>ACADSB</i>	2.1	0.25	1.3	0.38
<i>FCN3</i>	1.9	0.3	1.4	0.38
<i>TIMP3</i>	0.9	0.1	0.9	0.1

*The difference in activity between the human and the chimpanzee promoters is significant at $P < 0.05$ (see Figure 2).

^aAverage luminescence for each construct over 5 replicates (15 in the case of *DDA3*) was standardized by the average luminescence of the control (empty Luciferase vector).

Luciferase activity twofold above that of the control (*i.e.*, the empty vector). We therefore excluded these genes from subsequent analysis. Of the seven promoters that increased Luciferase activity beyond twofold of the control levels, three (the promoters for *BAT8*, *LGALS4*, and *BTAF1*) did not show a significant difference in activity between the human and the chimpanzee promoters (Table 1). Finally, for four genes, we observed a significant difference ($P < 0.05$) in activity between the human and the chimpanzee promoters (Table 1 and Figure 2). In one case (*GOSR1*), the difference in activity between the two promoters was opposite to our previous observation based on the microarray results, which we had confirmed by quantitative RT-PCR (see above). Although the *GOSR1* gene was found to be highly expressed in humans compared to chimpanzees, the human promoter has lower activity in the HEP human liver cell line compared to the chimpanzee promoter.

In the three remaining cases (the promoters for *CTSC*, *DDA3*, and *DUSP6*), we found a significant difference in activity between the human and chimpanzee promoters that recapitulated the previously observed interspecies difference in gene expression. Thus, it is likely that changes in *cis* regulatory elements contribute to the observed difference in gene expression levels between human and chimpanzee in 3 of the 10 genes that we examined.

Identifying a specific *cis* regulatory change: Next, we wanted to identify specific nucleotide substitution that underlie differences in activity between the human and chimpanzee promoters. To do so, we focused on the promoter for the *DDA3* gene, for which the human and chimpanzee sequence differs by only five nucleotide changes (Figure 3A). As a first step, we constructed (see MATERIALS AND METHODS) two combinations of approximately half of the human and half of the chimpanzee

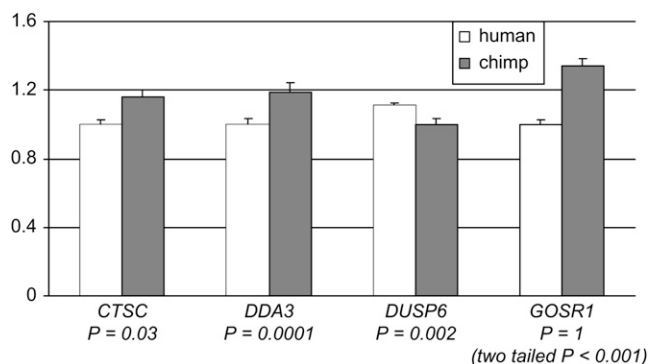


FIGURE 2.—Differences in promoter activity between human and chimpanzee. Mean fold differences (y-axis) and standard errors for 5 replicates (or 15 in the case of *DDA3*) are given for either the human (open bars) or the chimpanzee (shaded bars) promoters. For each gene (x-axis), results were standardized on the basis of the species with the lower promoter activity level (set to one). *P*-values are given below the gene names for a one-tailed *t*-test of the difference in activity between the human and the chimpanzee promoters (see MATERIALS AND METHODS).

promoters (combo 1 and combo 2; see Figure 3A). We then tested the ability of the combo constructs to enhance Luciferase activity using the same approach that was described above.

The results of this experiment led us to exclude two of the five nucleotide differences (at positions -593 and -921 upstream of the TSS), as they did not contribute significantly to the difference in activity between the human and chimpanzee promoters ($P = 0.33$; Figure 3B and supplemental Table 2 at <http://www.genetics.org/supplemental/>). Two of the remaining three nucleotide substitutions between human and chimpanzee are only 4 bp apart (at positions -291 and -295 upstream of the TSS). To test whether these two substitutions underlie the difference in expression between the human and chimpanzee *DDA3* gene in the liver, we proceeded to substitute these two nucleotides, by site-directed mutagenesis, on the human, the chimpanzee, or the combination backgrounds, resulting in four additional constructs (Figure 3A). We then tested the ability of each of the four constructs to enhance Luciferase activity. We did not find significant differences in activity associated with any of the individual constructs (minimum $P = 0.31$; Figure 3B and supplemental Table 2), suggesting a non-additive effect of the different substitutions. This result was confirmed when we applied a linear model to estimate the individual effects of the substitution at position -339 and the effect of the two substitutions at positions -291 and -295 , as none of these effects were found to be significant (minimum $P < 0.12$). In contrast, we found a significant interaction effect, suggesting that the effects of substitutions at different sites are not simply additive ($P = 0.037$; see MATERIALS AND METHODS for details). Thus, on the basis of these results, it appears that nucleotide differences in three sites (at positions

-291 , -295 , and -339 upstream of the TSS) are needed to attain the higher level of chimpanzee promoter activity in *DDA3*.

DISCUSSION

To identify specific nucleotide differences between human and chimpanzee that led to changes in *cis* regulatory elements, we focused on 10 genes that were previously identified as differentially expressed between humans and chimpanzees (GILAD *et al.* 2006). Using reporter gene assays in a human liver cell line, we confirmed the ability of 7 of the 10 promoters to enhance transcription beyond background levels.

The proportion of predicted promoters that failed to enhance Luciferase activity in this experiment (30%) is consistent with previous observations using reporter gene assays in human cell lines (TRINKLEIN *et al.* 2003; COOPER *et al.* 2006) and might be explained by poor prediction of the TSS or the existence of alternative promoters in different tissues. An additional explanation is that some of the transcription factors that are expressed in human livers are not expressed in the particular human liver cell line with which we worked (HEP). If so, the three promoters may not be active in this cell line because of missing *trans* regulatory elements. One would then predict that the genes downstream of the endogenous promoters may not be expressed in the cell line either. We tested this hypothesis by attempting to amplify a cDNA product for each of the 10 genes using RNA extracted from the cell line as template (we used the quantitative RT-PCR primers for the 10 genes; see supplemental Table 1 at <http://www.genetics.org/supplemental/>). We failed to amplify a product only for 2 of the 10 genes: *FCN3* and *ACADSB*. These genes are two of the three cases for which no promoter activity was observed. Hence, it is likely that in these two cases, lack of promoter activity can be explained by cell-line-specific artifacts.

Although none of the human–chimpanzee promoter pairs are identical at the sequence level (the range of sequence divergence is 0.55–1.3%), we did not find significant differences in transcriptional activity between the human and the chimpanzee promoter constructs for three of the seven active promoter pairs. An obvious explanation for this observation is that the three genes are differentially expressed between the species due to changes outside of the promoter regions examined in this study (*e.g.*, in *cis* elements that are further upstream or downstream from the TSS) or to changes in *trans* regulatory elements that bind to the promoters of these three genes.

Differences in promoter activity: In one case (the promoter for the gene *GOSR1*), the reporter gene assays were not consistent with the observation from the microarray. While the *GOSR1* gene shows elevated

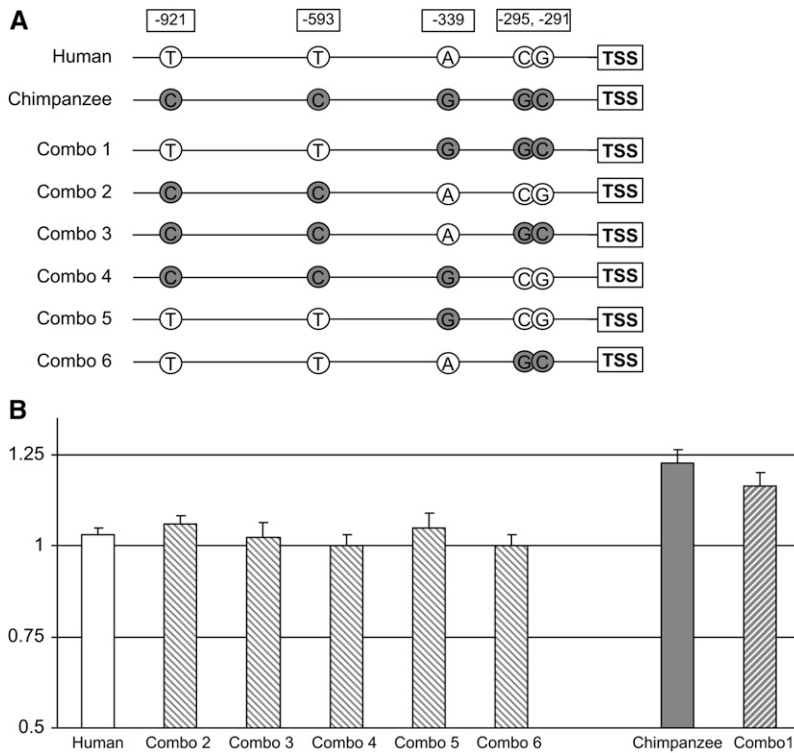


FIGURE 3.—Reporter gene assays with *DDA3* constructs. (A) The promoter constructs of the human, the chimpanzee, and the different combinations are shown. (B) Mean fold differences (y-axis) and standard errors for 15 replicates are given for the human (open bar), the chimpanzee (shaded bar), or the different combo promoters. The results were standardized on the basis of the combination with the lower promoter activity level (*i.e.*, combo 4 was set to one). All pairwise comparisons between the chimpanzee or combination 1 mean activity levels on the one hand and the human or combinations 2–6 mean activity levels on the other are significant at $P < 0.01$. The raw data for all 15 replicates of all the *DDA3* constructs are available in supplemental Table 2 at <http://www.genetics.org/supplemental/>.

expression in humans compared to chimpanzees, there is significantly higher transcriptional activity for the chimpanzee promoter compared to the human promoter. This discrepancy is unlikely to reflect a spurious result of the microarray analysis, as we confirmed the array observations by using quantitative RT-PCR (see RESULTS). Instead, it might be explained by compensatory changes in regulatory elements in chimpanzee (either in *cis* or in *trans*), which are missing from the human cell line or are not located within the 1-kb chimpanzee segment that was used in the construct. Compensatory changes in transcription-factor binding sites have been observed previously in fruit flies (LUDWIG *et al.* 1998, 2005) and have been inferred from a comparison of human and mouse regulatory sequences (DERMITZAKIS and CLARK 2002). In theory, this hypothesis could be tested by a reciprocal experiment in which the activity of both human and chimpanzee promoters is tested in chimpanzee liver cell lines. Unfortunately, there are no chimpanzee liver cell lines available, so this approach is not feasible at present.

In contrast to the *GOSRI* gene, a comparison of the transcriptional activity of promoters for three other genes (*CTSC*, *DDA3*, and *DUSP6*) yielded results that are in agreement with the observations from the microarray. It is difficult to compare fold changes across the different techniques (microarrays, quantitative RT-PCR, and reporter gene assays). This caveat notwithstanding, our results strongly suggest that the expression of the genes *CTSC*, *DDA3*, and *DUSP6* differs between human and chimpanzee due, at least in part, to changes in *cis*

regulatory elements that reside within a segment 100 bp downstream of the TSS to 900 bp upstream of the TSS.

Identifying *cis* regulatory changes: Ultimately, our goal was to identify particular nucleotide substitutions between human and chimpanzee that contribute to differences in gene regulation between the species. We chose to focus on the *DDA3* gene as a test case because it has the fewest nucleotide substitutions between human and chimpanzee among the three genes for which our experiments suggested an interspecies difference in *cis* regulation. Using site-directed mutagenesis, we were able to identify three nucleotides that underlie the difference in transcriptional activity between the human and the chimpanzee promoters of the *DDA3* gene. Interestingly, we observed a significant difference in promoter activity only when all three nucleotides were substituted. It remains possible that single-nucleotide substitutions have subtle effects on *DDA3* promoter activity, which our reporter gene assays (even using 15 replicates) were underpowered to detect.

The expression level of the *DDA3* gene was originally inferred to be under directional selection in the human lineage (GILAD *et al.* 2006). This conclusion was based on the observation that *DDA3* expression levels were found to be relatively constant in nonhuman apes, yet consistently reduced in humans. On the basis of this result, we expected the three nucleotides that underlie the *cis* regulatory difference between human and chimpanzee *DDA3* promoters to be derived in the human lineage. However, when we aligned the human and chimpanzee *DDA3* promoters with the corresponding

rhesus macaque sequence, the three nucleotide substitutions were inferred to have occurred on the chimpanzee lineage. Hence, although we were able to identify individual nucleotides that underlie a *cis* regulatory difference between human and chimpanzee, our observations do not point to the genetic basis for adaptive changes in *DDA3* expression level in humans. Instead, our observations suggest that a regulatory change (outside of the 1-kb segment used in the reporter construct or in *trans*) occurred in the ancestor of humans and chimpanzees, which reduced levels of expression of *DDA3*. This regulatory change was then compensated in the chimpanzee lineage by the three nucleotide substitutions, but not in the human lineage, where the reduced levels of *DDA3* expression may have been advantageous (GILAD *et al.* 2006).

Possible *DDA3* regulatory mechanism: *DDA3* has been shown to be a downstream target of *P53* and to be involved in activation of the β -catenin pathway (HSIEH *et al.* 2007), which has a role in regulating a large number of cellular processes including cell growth and circadian rhythm (MEIJER *et al.* 2004). We hypothesized that the three nucleotide substitutions in the *DDA3* promoter region are part of at least two transcription-factor binding sites, with one binding site that includes the locus at position -339 and another that includes the loci at positions -291 and -295 . If so, and assuming the relevant transcription factor(s) did not change between human and chimpanzee (*i.e.*, the protein is identical) and therefore have similar binding properties, we would expect the nucleotide differences between the species to change the affinity with which the transcription factor(s) binds to the *DDA3* promoter.

To find candidate transcription factors that are consistent with this hypothesis, we used the TRANSFAC database (BioBase biological databases) to identify all known transcription-factor binding sites that overlap these two locations (using a matrix *P*-value cutoff for the match of the predicted binding site of 0.8 for the core and 0.7 for the extended consensus element). We note that it is unclear how to assign significance to the identification of transcription-factor binding sites on the basis of a single sequence (VAVOURI and ELGAR 2005). In particular, since transcription-factor binding sites are short (6–12 mers), multiple false positives are expected at nearly every locus. That said, we were able to identify only one transcription factor (albumin D-box binding protein, *DBP*) that met our criteria. The *DBP* transcription factor can bind to both locations in the human *DDA3* promoter. In chimpanzee, *DBP* is expected to bind much less efficiently to both locations: The two nucleotide substitutions at positions -291 and -295 change the binding site for *DBP* such that it is no longer recognized using our statistical cutoff. Similarly, the substitution at position -339 is expected to disrupt the chimpanzee binding site for *DBP* (see supplemental Table 3 at <http://www.genetics.org/supplemental/>). In

addition, there are no differences at the protein level between the human and the chimpanzee *DBP* orthologous genes. Thus, it seems reasonable to assume that there are no interspecies differences in *DBP* DNA-binding properties. Finally, *DBP* has been demonstrated to regulate circadian gene expression in the liver and kidney (WUARIN *et al.* 1992; RIPPERGER *et al.* 2000), consistent with our limited knowledge regarding the role of *DDA3* (HSIEH *et al.* 2007). In summary, these observations, although clearly speculative, suggest that *DBP* is a repressor of the *DDA3* gene and that both its binding sites were weakened or disrupted in chimpanzee.

Outlook: Our work demonstrates that, by using interspecies gene expression profiles followed by reporter gene assays, it is possible to hone in on specific *cis* regulatory differences between human and chimpanzee. High-throughput application of this approach will allow us to identify *cis* regulatory elements that are functionally important in humans, and, in particular, which have evolved under positive selection in the human lineage. In that respect, an important resource would be the development of chimpanzee cell lines from a large number of tissues. Such a resource will facilitate the elucidation of the relative contribution of *cis* and *trans* regulatory changes in humans and chimpanzees.

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