Mitochondrial Evidence on the Phylogenetic Position of Caecilians (Amphibia: Gymnophiona)

Rafael Zardoya* and Axel Meyer†

* Museo Nacional de Ciencias Naturales, 28006 Madrid, Spain and † Department of Biology, University of Konstanz, D-78457 Konstanz, Germany

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ABSTRACT

The complete nucleotide sequence (17,005 bp) of the mitochondrial genome of the caecilian Typhlonectes natans (Gymnophiona, Amphibia) was determined. This molecule is characterized by two distinctive genomic features: there are seven large 109-bp tandem repeats in the control region, and the sequence for the putative origin of replication of the L strand can potentially fold into two alternative secondary structures (one including part of the tRNA^Cys). The new sequence data were used to assess the phylogenetic position of caecilians and to gain insights into the origin of living amphibians (frogs, salamanders, and caecilians). Phylogenetic analyses of two data sets—one combining protein-coding genes and the other combining tRNA genes—strongly supported a caecilian + frog clade and, hence, monophyly of modern amphibians. These two data sets could not further resolve relationships among the coelacanth, lungfishes, and tetrapods, but strongly supported diapsid affinities of turtles. Phylogenetic relationships among a larger set of species of frogs, salamanders, and caecilians were estimated with a mitochondrial rRNA data set. Maximum parsimony analysis of this latter data set also recovered monophyly of living amphibians and favored a frog + salamander (Batrachia) relationship. However, bootstrap support was only moderate at these nodes. This is likely due to an extensive among-site rate heterogeneity in the rRNA data set and the narrow window of time in which the three main groups of living amphibians were originated.

Living amphibians (Lissamphibia) include three orders: Anura (frogs), Caudata (salamanders), and Gymnophiona (caecilians). Of these, the limbless caecilians, due to their secretive fossorial lifestyle, are the least known group (Carroll 1988). Much of their biology, including aspects of their ecology and behavior, is still poorly understood (Wilkinson and Nussbaum 1997). Caecilians have a distinctive morphology with an elongated limbless body (Wake 1997), a tropical distribution, and are probably of Gondwanan origin (the earliest appearance of this group in the fossil record dates back to the Lower Jurassic; Jenkins and Walsh 1993). Most of the 175 or so caecilian species currently recognized have burrowing habits, and few live in aquatic environments (Taylor 1968; Nussbaum and Wilkinson 1989; Duellman and Trueb 1994). Previous work on caecilian systematics has involved both morphological (Nussbaum and Wilkinson 1989; Wake 1993; Duellman and Trueb 1994) and molecular (Hedges et al. 1993; Feller and Hedges 1998) data. Nonetheless, the evolutionary and phylogenetic relationships of the six caecilian families (Ichthyophiidae, Caeciliidae, Typhlonectidae, Scolecomorphidae, Rhinatrematidae, and Uraeotyphlinae) remain tentative (Nussbaum and Wilkinson 1989; Hedges et al. 1993; Wake 1993, 1997).

There still is a lack of consensus regarding living amphibian phylogenetic relationships (reviewed in Trueb and Cloutier 1991b; Carroll et al. 1999). The most widely accepted hypothesis, based on morphological data, supports the monophyletic origin in the Late Paleozoic (300 mya) of the three living orders in the class Amphibia and a sister-group relationship between Caudata and Anura (the Batrachia hypothesis) to the exclusion of the Gymnophiona (e.g., Szarski 1962; Parsons and Williams 1963; Milner 1988; Trueb and Cloutier 1991a; Laurin and Reisz 1997). However, both the monophyly of living amphibians and the close phylogenetic relationships of frogs with salamanders are still debated. For instance, Jarvik (1942, 1980) has long maintained, on the basis of morphological analysis of the anterior head region, that tetrapods are polyphyletic in origin. According to this author, salamanders are derived from porolepiform fishes (an extinct group of lobe-finned fishes), whereas frogs are postulated to be descendant from osteolepiform fishes (another extinct group of lobe-finned fishes). Jarvik (1980) was unsure of the origin and phylogenetic relationships of caecilians. Reig (1964) also suggested that Lissamphibia are not a natural group and hypothesized an independent origin of caecilians from the other groups of living am-
philians. According to this author, caecilian have affinities with microsauria (an extinct group of Lepospondyl amphibians). In a related hypothesis, Carroll and coworkers (Carroll and Currie 1975; Carroll and Holmes 1980; Carroll 1988) advocate a close phylogenetic relationship of both caecilians and salamanders to different lineages of microsauria. According to this hypothesis, frogs would have evolved from temnospondyl amphibians (Carroll 1988). The monophyly of Lissamphibia and a close phylogenetic relationship between salamanders and caecilians is supported by several morphological (Bolt 1991) and molecular (Larson and Wilson 1989; Hedges and Maxson 1993; Feller and Hedges 1998) phylogenetic studies. A sister-group relationship between frogs and caecilians has apparently never been proposed.

Mitochondrial DNA (mtDNA) has been the molecular marker of choice in numerous phylogenetic analyses of vertebrate relationships and, hence, it was also expected to be appropriate for resolving the question on the origin of the Lissamphibia. Recently, several researchers have demonstrated that individual mitochondrial genes may show a poor performance in recovering the phylogenetic relationships among divergent vertebrate lineages that last shared a common ancestor in the Devonian (Cummings et al. 1995; Russo et al. 1996; Zardoya and Meyer 1996b). The phylogenetic analysis of complete mitochondrial genome sequences is anticipated to provide more reliable estimations of evolutionary relationships than those of individual genes. But even entire mitochondrial genome sequences are not guaranteed to discover correct topologies among ancient lineages that had a rapid origin. For a detailed discussion of the pitfalls of phylogenetic analyses based on complete mitochondrial genomes, such as among-site rate variation, rate differences among lineages, compositional biases, and saturation effects, see, e.g., Cao et al. (1998), Zardoya et al. (1998), and Zardoya and Meyer (2000).

Only the complete mtDNA sequence of a single amphibian, the clawed frog Xenopus laevis (Anura), is currently available (Roé et al. 1985). Unfortunately, this sequence is suspected to contain a sizeable number of sequencing errors, which may reduce its phylogenetic reliability (Feller and Hedges 1998). Here, we present the gene order and complete nucleotide sequence of the mitochondrial genome of a caecilian with the aims of resolving the controversial phylogenetic position of Gymnophiona and of further examining the performance of complete mitochondrial genomes in reconstructing the phylogeny of relatively divergent vertebrate taxa with a rapid adaptive radiation origin (Cao et al. 1998; Zardoya et al. 1998). We are also presently in the process of sequencing the mitochondrial genome of a salamander, with the objective of establishing the origin and evolutionary relationships of all living orders of amphibians based on complete mitochondrial genome sequences.

**MATERIALS AND METHODS**

**Isolation, PCR, cloning, and sequencing procedures:** DNA was purified from the liver and kidneys of a single caecilian (Typhlonectes natans) specimen, as previously described (Zardoya et al. 1995). The isolated mtDNA was cleaved with the HindIII restriction enzyme. Six restriction fragments of 2.3, 1.8, 1.7, 1.1, 0.6, and 0.16 kb, which covered a region from 12S to COII genes, were cloned into pUC18. The rest of the molecule (9 kb) was amplified by PCR using the mtDNA extraction as DNA template source. PCR amplification with the primers caecilian COII F (5′-GGT GCC AAC CAC AGC TTT ATG CC-3′) and caecilian 12S R (5′-GTT TAG GCC TGG CCA TAA TTG AT-3′) was achieved by using the Expand long template PCR system of Boehringer Mannheim (Mannheim, Germany; PCR buffer 1) and the following program: 1 cycle of 2 min at 94°; 10 cycles of 10 sec at 94°; 30 sec at 58°, and 6 min at 68°; 20 cycles of 10 sec at 94°, 30 sec at 58°, and 6 min at 68° with an extension step that increases 20 sec for each cycle; and finally, 1 cycle of 5 min at 68°. This 9-kb fragment was used as DNA template source for the subsequent standard PCR amplification of shorter fragments to facilitate the sequencing strategy (see, e.g., Zardoya and Meyer 1997 for standard PCR conditions). PCR fragments were cloned into the pGEM-T vector (Promega, Madison, WI).

Sequencing of the recombinant plasmids was performed with an automated DNA sequencer (Applied Biosystems, Foster City, CA; 373A Dye Terminator cycle-sequencing kit (Applied Biosystems). Sequences were obtained using both M13 universal sequencing primers and several specific oligonucleotide primers. The sequences obtained from each clone averaged 450 bp in length, and each sequence overlapped the next contig by ~150 bp. In no case were differences in sequence observed between the overlapping regions.

**Phylogenetic analyses:** The complete nucleotide sequence of the caecilian mitochondrial genome was aligned to the homologous sequences of other tetrapods using CLUSTAL W (Thompson et al. 1994) and refined by eye. Gaps resulting from the alignment were treated as missing data. Ambiguous alignments, mainly in 5′ and 3′ ends of protein-coding genes, in the dihydouridine (DHU) and TψC arms of the TRNs, and in several highly variable regions of the tRNA genes, were excluded from the phylogenetic analyses (aligned sequences and exclusion sets are available at http://www.mncn.csic.es/investigacion/bbe/zardoy/primeras.htm).

The same data sets were analyzed separately: (1) all protein-coding genes combined (except ND6 because it is encoded by the L strand and therefore has a very different base composition) at the amino acid level; (2) all 22 tRNA gene sequences combined; and (3) 12S and 16S rRNA genes combined. Each of these data sets was subjected to all three commonly used methods of phylogenetic inference [i.e., maximum parsimony (MP), neighbor-joining (NJ), and maximum likelihood (ML)]. MP analyses were performed (PAUP* version 4.0b2a; Swofford 1998) using heuristic searches (TBR branch swapping; MULPARS option in effect) with 10 random stepwise additions of taxa. The DNA and the rRNA data sets were analyzed using empirical transition/transversion (Ti:Tv) ratios (3:1 and 2:1, respectively). NJ (Saitou and Nei 1987) analyses of the nucleotide and amino acid alignments were based on HKY85 (Hasegawa et al. 1985) and mean character distance matrices (PAUP* version 4.0b2a; Swofford 1998), respectively. ML analyses were performed with PAUP* version 4.0b2a (Swofford 1998) and MOLPHY version 2.3 (Adachi and Hasegawa 1996b). In the DNA ML analyses (HKY 85 model; Hasegawa et al. 1985), transition/transversion ratios were optimized to maximize the likelihood, and empirical base frequen-
cies were used. In the protein ML analyses, a NJ tree was inferred as starting tree for a local rearrangement search for the ML tree with the mtREV model (Adachi and Hasegawa 1996).

Robustness of the phylogenetic results was tested by bootstrap analyses (Felsenstein 1985; as implemented in PAUP+ version 4.0b2a; Swofford 1998) with 100 pseudoreplications each, the quartet puzzling method (with 1000 puzzling steps; Strimmer and von Haeseler 1996), and the RELL (resampling of the estimated log-likelihood) local bootstrapping method (Kishino et al. 1990) with 10,000 pseudoreplications.

The complete mtDNA sequence of the caecilian T. natans has been deposited at the EMBL/GenBank data libraries under accession no. AF154051. A figure summarizing the main features of the caecilian genome is also available at http://www.mncn.csic.es/investigacion/bbe/zardoy/primera.htm.

RESULTS AND DISCUSSION

Genome organization: The complete nucleotide sequence of the L strand of the caecilian mt genome was determined. The mitochondrial molecule is 17,005 bp long and encodes for 13 protein-coding, 2 rRNA, and 22 tRNA genes. The organization of the mitochondrial genes and noncoding regions is identical to that of Eutherian mammals, frog, fishes, and sharks (see Table 1). The overall base composition of the L strand of the caecilian mtDNA is skewed, as in most metazoans, of the tRNA Val, was found to be 34% different in both the 12S rRNA and the beginning fragment (positions 895 to 1023 of our sequence), which comprised the end of the 12S rRNA and the beginning of the tRNAVal, was found to be 34% different in both sequences. A search in GenBank revealed that this portion of the caecilian sequence reported by Fell er and Hedges (1998) had 96% similarity to the homologous sequence of X. laevis.

As in other vertebrates, the caecilian mt genome contains a total of 22 tRNA genes interspersed between ribosomal RNA and protein-coding regions. These tRNA genes, which range in size from 66 to 74 nucleotides (Table 1), were recognized by their capability to fold into a canonical cloverleaf secondary structure (with the exception of tRNA Ser [AGY]) and by the presence of specific anticodons (Figure 2). Size variability with respect to homologous vertebrate tRNAs was mainly detected in the DHU and TuC loops whereas the anticon- dant and acceptor stems were found to be more conserved in general.

All caecilian mtDNA protein-coding genes begin with an ATG start codon except for COI, which initiates with GTG, and ND1, which uses ATT as an initiation codon (see Table 1). The use of GTG as start codon for the COI gene is found in all chordates except mammals, which use ATG [a striking exception to this rule is frog (Roe et al. 1985) in which the COI gene starts with ATG]. Most caecilian open reading frames (ORFs) have incomplete stop codons, either a T (ND1, COII, COIII, ND3, ND4, and cyt b) or TA (ATPase 6), that presumably become functional by polyadenylation of the respective mRNAs. Four protein-coding genes use complete TAA stop codons (ATPase 8, ND4L, ND5, and ND6), and two end with TAG (ND2 and COI). This stop codon usage is similar to that reported for the
African lungfish (Zardoya and Meyer 1996a). Interestingly, AGR are not used as stop codons in the caecilian, whereas in both the African lungfish and the frog the ND5 gene ends with AGA.

There are two cases of reading-frame overlap on the same strand. ATPases 8 and 6 share 7 nucleotides, as is the case in the carp (Chang et al. 1994) and the lancelet (Spruyt et al. 1998; Boore et al. 1999), but not in other fishes, frog, reptiles, and birds (10 nucleotides) or mammals (~40 nucleotides). ND4L and ND4 overlap by 7 nucleotides, as in all chordates. Additionally, ND5 and ND6, which are encoded by different strands, share 17 nucleotides. This overlap is similar to that found in the sea lamprey (Lee and Kocher 1995) and considerably larger than that found in most vertebrates (~4 nucleotides). Finally, COI and tRNA\textsuperscript{Ser\textsubscript{UCN}} genes share 12 nucleotides. A similar overlap has been described for the turtle mitochondrial genome (Zardoya and Meyer 1998).

**Phylogenetic position of the caecilian:** The deduced amino acid sequences of all the mitochondrial ORFs (with the exception of ND6, which is encoded by the L strand) were combined and aligned with 18 representative vertebrate homologous sequences: cod, Gadus morhua (X99772, Johansen and Bakke 1996); salmon, Salmo salar (U12143, C. D. Hurst, S. E. Bartlett, I. J. Bruce and W. S. Davidson, unpublished results); rainbow trout, Oncorhynchus mykiss (L29771, Zardoya et al. 1995); carp, Cyprinus carpio (X61010, Chang et al. 1994); goldfish, Carassius auratus (AB006953, Mura-
Figure 1.—Proposed alternative stem-loop secondary structures for the L-strand origin of replication. One of these configurations (B) partially shares nucleotides with the tRNA\textsuperscript{\text{GC}} (indicated by a line on its proposed cloverleaf secondary structure).

The nucleotide sequences of the 22 tRNAs encoded by the caecilian mt genome were combined and aligned to the homologous sequences of the same 18 vertebrate taxa. Of the tRNA data set of 1624 positions, 535 were excluded because of ambiguity. Of the remaining sites, 50% were constant, and 852 were parsimony informative. When a 3:1 Ti:Tv weighting scheme was used and teleosts were defined as outgroup taxa, MP recovered one most parsimonious tree (3600 steps, C.I. = 0.50) in which a caecilian + frog clade (supported by a 82% bootstrap value) is identified as the sister group of the amniota (Figure 4A). NJ (HKY85 distances) and ML (HKY85 model) analyses of the tRNA data set also supported this clade (Figure 4, B and C) with high bootstrap support (86 and 88%, respectively).

Other vertebrate phylogenetic relationships: Besides the phylogenetic relationships within living amphibians, the recovered topologies reveal the existence of at least...
Figure 2.—Proposed cloverleaf secondary structures of the 22 tRNAs deduced from the complete sequence of the mitochondrial genome of a caecilian.
three controversial nodes in the vertebrate tree. The phylogenetic relationships between sarcopterygian fishes and tetrapods, the phylogenetic relationships among main groups of reptiles, and the phylogenetic position of monotremes with respect to marsupials and placentals cannot be resolved confidently (see Figures 3 and 4). Different methods of phylogenetic inference and different molecular data sets recover alternative hypotheses that can explain the phylogenetic position of these taxa (Figures 3 and 4). The lack of resolution at these nodes likely reflects rapid radiation events in the origin of the corresponding lineages (Carroll 1997).

In an apparent contradiction, previous analyses based on mitochondrial protein data had firmly supported a lungfish + tetrapod clade whereas mitochondrial tRNA evidence had strongly recovered a lungfish + coelacanth clade as sister group of tetrapods (Zardoya et al. 1998). In the present study, the addition of the caecilian amino acid and tRNA sequences to the phylogenetic analyses seems to affect the recovery of these groupings by both data sets. The protein data set is unable to resolve confidently the phylogenetic relationships of lungfish, coelacanth, and tetrapods (MP rendered the relationships among these taxa into a polytomy; NJ favored a lungfish + tetrapod clade; ML supported a lungfish + coelacanth grouping; see Figure 3) and the tRNA data set no longer supports with strong statistical confidence any of the alternative hypotheses (Figure 4). Hence, the phylogenetic analyses of both data sets are not anymore in contradiction but reflect a lack of phylogenetically informative positions in the two mitochondrial data sets due to the rapid origin of these vertebrate lineages back in the Devonian (Carroll 1997).

Similarly, the phylogenetic relationships among reptiles varied depending on the phylogenetic analysis performed (Figures 4 and 5). Previous phylogenetic analyses based on mitochondrial data supported a turtle + Archosauria (crocodiles + birds) clade to the exclusion of Lepidosauria (tuatara, snakes, and lizards; Zardoya and Meyer 1998; Kumazawa and Nishida 1999). However, nuclear evidence favored a turtle + crocodile clade (Hedges and Poling 1999). In the new analyses here presented, the protein data set places the turtle as sister group to the Archosauria whereas the tRNA data set supports a turtle + Lepidosauria grouping (Figures 4 and 5). A turtle + crocodile clade was never recovered based on mitochondrial data. Overall, our results strongly support diapsid affinities of turtles (Rieppel and de Braga 1996; Zardoya and Meyer 1998; Kumazawa and Nishida 1999). Although the skink (as representative of the Lepidosauria) is placed generally within the reptiles and basal to Archosauria (Figures 3 and 4), in the MP and NJ analyses of the protein data set, a putative basal position of Lepidosauria to the rest of the Amniota is suggested (Figure 3, A and B). Again, this uncertainty may reflect the rapid origin of the major reptile lineages.

Figure 3.—Phylogenetic position of the caecilian based on a protein data set that combines all mitochondrial protein-coding genes (except ND6). The data set was analyzed with MP (A), NJ (B), and ML (C) methods of phylogenetic inference. Teleosts were used as outgroup taxa. MP and NJ topologies represent 50% majority rule bootstrap consensus trees based on 100 pseudoreplications. The ML tree shows RELL bootstraps based on 10,000 pseudoreplications. In all cases, a caecilian + frog clade is supported, i.e., monophyly of living amphibians.
Finally, the phylogenetic relationships near the root of the mammalian clade also appear to be difficult to resolve (Figures 4 and 5; Waddell et al. 1999). The tRNA data set strongly supports the Marsupionta (monotremes + marsupials) hypothesis (Janke et al. 1996). However, the protein data set favors moderately the traditional Theria (marsupials + placentals) hypothesis (with the exception of the NJ analysis). This latter result was robust to changes in the sampling of the species representing the main groups of vertebrates (not shown). The resolution of this controversy likely requires the collection and analysis of nuclear data.

Lissamphibia relationships based on mitochondrial rRNA data: To test the phylogenetic relationships among modern amphibians, the complete nucleotide sequences of the caecilian 12S and 16S rRNA genes were aligned to the homologous sequences of another two caecilians (Ichthyopus bannanicus and Epicrionops sp.; Feller and Hedges 1998), three frogs (X. laevis, Eutropidactylus cunatus, and Rana pipiens; Feller and Hedges 1998), and three salamanders (Siren intermedia, Ambystoma mexicanum, and Plethodon yonahlossee; Feller and Hedges 1998). The rRNA data set consisted of 28 taxa (including representatives of the major tetrapod lineages, lobe-finned fishes, and teleosts as outgroup) and 2872 positions. Of these 986 were excluded due to ambiguity and 994 were parsimony informative.

The MP analysis using a 2:1 transversion:transition weighting and teleosts as outgroup recovered a single most parsimonious tree (8216 steps, C.I. = 0.37) that supports monophyly of living amphibians (with a 70% bootstrap value; Figure 5A). The monophyly of the caecilian, salamander, and frog lineages is supported clearly (100% bootstrap values), and a frog + salamander clade is suggested (54% bootstrap support; Figure 5A). This result is in agreement with the Batrachia hypothesis, which is based on morphological data (e.g., Szarski 1962; Parsons and Williams 1963; Trueb and Cloutier 1991a; Laurin and Reisz 1997) and is in disagreement with the results of a related phylogenetic analysis also based on rRNA data that supported a salamander + caecilian relationship (Feller and Hedges 1998). Two important methodological differences between both studies may be responsible for this disagreement: first, the choice of outgroup (teleosts in this work vs. amniota in Feller and Hedges 1998) and, second, the representation of amniote lineages (several species per lineage in this work vs. a single representative per lineage in Feller and Hedges 1998). NJ and ML analyses of the rRNA data set recovered tree topologies in which the monophyly of living amphibians and the relationships between frogs, salamanders, and caecilians are unresolved (Figure 5B). This may not be surprising since the nodes connecting these lineages are very short and lead to rather long branches. This combination of features is particularly difficult to resolve because it would require a hypothetical molecular marker having different rates of substitution during its history—a fast one during the rapid origin of lineages and a slow one thereafter. Additionally, the mitochondrial rRNA se-
Figure 5.—Phylogenetic relationships among living amphibian orders based on mitochondrial rRNA genes. A data set combining the two rRNA mitochondrial genes (12S and 16S) was analyzed with (A) MP and (B) NJ and ML phylogenetic methods (top and bottom numbers along branches, respectively). Numbers shown represent bootstrap values from 100 pseudoreplicates (MP and NJ) or 1000 puzzling steps (ML). Nodes with a bootstrap value below 50% were collapsed into polytomies. Teleosts were used as outgroup taxa. Monophyly of frogs, salamanders, and caecilians is supported strongly by this data set. A frog + salamander sister-group relationship (Batrachia) is supported by the MP analysis. Overall, interrelationships among the three modern amphibian lineages remain tentative.

sequence data set shows a high degree of among-site rate variation between different regions of the 12S and 16S rRNA molecules. As a consequence, only few sites are phylogenetically informative at any particular level of divergence (Olsen and Woese 1993). Interestingly, MP, NJ, and ML analyses of the rRNA data set support a basal position of Lepidosauria within reptiles and recover a tuatara + turtle clade as sister group to Archosauria.

In conclusion, the monophyly of living amphibians is largely supported by mitochondrial evidence. However, the separation of the different lineages of living orders of amphibians (i.e., Anura, Caudata, and Gymnophiona) apparently took place within a narrow window of time (the oldest fossils of the three groups are all from the Jurassic, 213–144 mya; see, e.g., Wake 1997). Hence, the number of phylogenetically informative positions that can resolve these relationships is rather limited, which results in only moderate support for the Batrachia hypothesis with the rRNA data set. It is expected that the future completion of the nucleotide sequence of a salamander mitochondrial genome will be crucial in determining the phylogenetic relationships among all living amphibian orders and in clarifying other controversial phylogenetic relationships of related vertebrates, e.g., lobe-finned fishes and reptiles.

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